

# UBAM - US EQUITY GROWTH

Monthly Institutional Factsheet | 28.05.2021

For Professional Investors in Switzerland or Professional Investors as defined by the relevant laws, The classification of the fund(s) as per the Sustainable Finance Disclosure Regulation (SFDR) is available on ubp.com or in the latest prospectus.

## PERFORMANCE & KEY METRICS

ISIN: LU1704635793 (IC - Share USD)

NAV: 179.38 USD

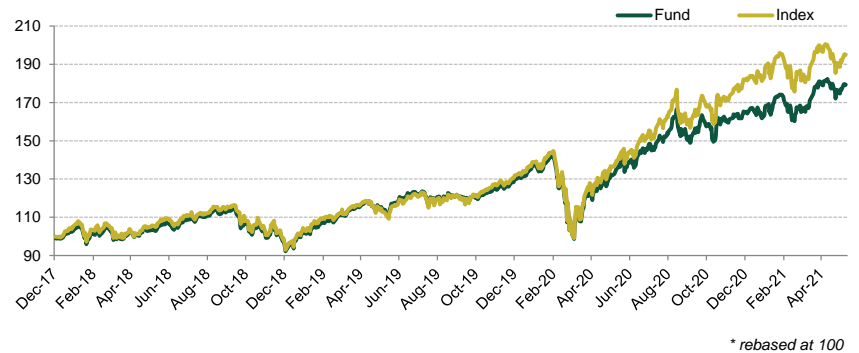
### PERFORMANCE

	Fund <sup>1</sup>	Index <sup>2</sup>
May 2021	-0.85%	-1.78%
YTD	7.38%	6.04%
1Y	32.82%	40.09%
3Y	73.13%	85.03%
5Y	-	-
Since inception*	79.38%	95.02%
2020	27.75%	38.82%
2019	35.70%	35.88%
2018	-2.41%	-1.89%

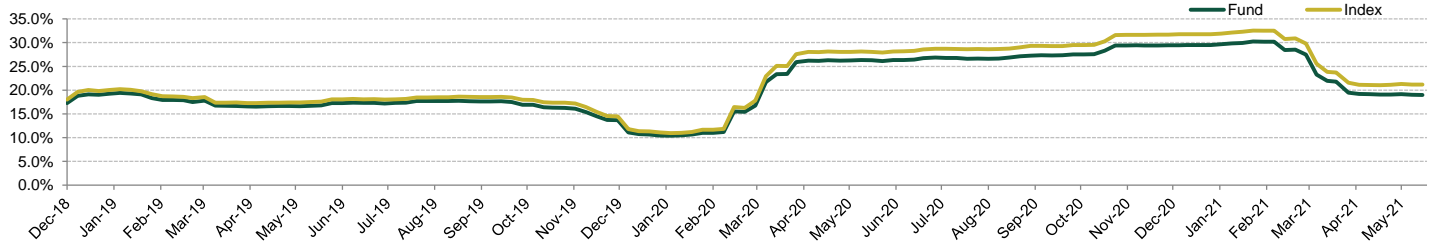
\*Inception date : 19.12.2017

Past performance is not a guide to current or future results. See the disclaimer at the end of this document.

### HISTORICAL TRACK RECORD \*



### 1 YEAR-ROLLING VOLATILITY



### KEY METRICS\*\*

	Fund <sup>1</sup>	Index <sup>2</sup>	Fund <sup>1</sup>	Index <sup>2</sup>
Annualised Volatility %	20.59	22.11	Sharpe Ratio	0.82 / 0.89
Annualised Tracking Error %	3.31	-	Information Ratio	-0.87 / -
Beta	0.92	-	Jensen's Alpha %	-1.36 / -
Max Drawdown %	-28.57	-29.75	Active Share	47.42 / -
Drawdown begins at	14.02.2020	14.02.2020	Dividend Yield %	0.59 / -
Period to recover (number of weeks)	15	15	AUM (USD Mn)	216.38 / -

\*\*Statistics computed since inception except Active share, Dividend Yield and AUM which are based on the current portfolio holdings

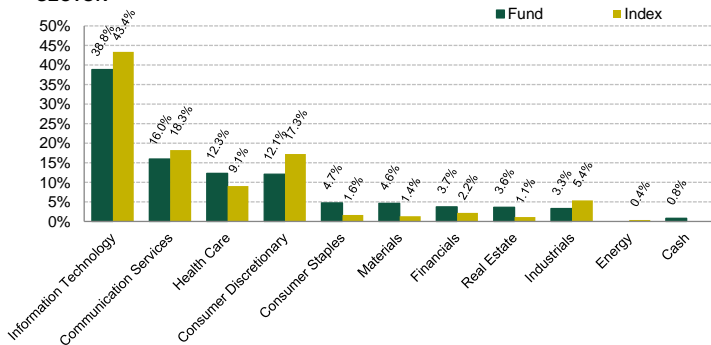
Source: UBP; data as at 28.05.2021

<sup>1</sup> Based on UBAM - US Equity Growth IC-Share net of fees, USD, ISIN: LU1704635793

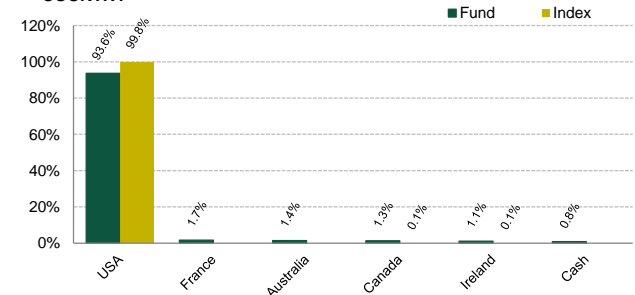
<sup>2</sup> The index is the MSCI USA Growth Net return, provided for information purposes only. The investment objective does not aim to replicate the index.

## PORTFOLIO BREAKDOWNS

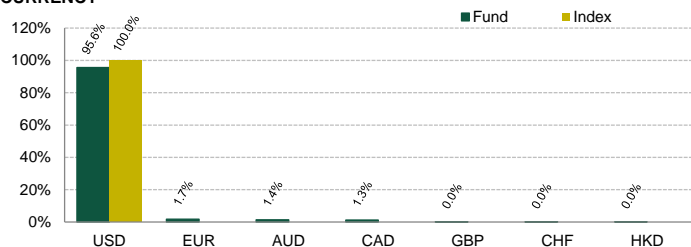
### SECTOR



### COUNTRY



## CURRENCY



Source: UBP; data as at 28.05.2021

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## TOP 10 HOLDINGS

Name	Portfolio Weight (end)	Index Weight (end)	Country	Sector
MICROSOFT CORP	9.53%	9.65%	USA	Information Technology
ALPHABET-A	8.86%	3.82%	USA	Communication Services
APPLE INC	8.59%	11.29%	USA	Information Technology
AMAZON.COM INC	8.33%	7.44%	USA	Consumer Discretionary
FACEBOOK-A	4.35%	4.27%	USA	Communication Services
VISA-A	2.56%	2.08%	USA	Information Technology
NVIDIA CORP	2.53%	2.17%	USA	Information Technology
MASTERCARD-A	2.24%	1.73%	USA	Information Technology
PAYPAL HOLDINGS-WI	2.14%	1.56%	USA	Information Technology
ZOETIS-A	2.12%	0.45%	USA	Health Care
<b>Total</b>	<b>51.2%</b>	<b>44.5%</b>		

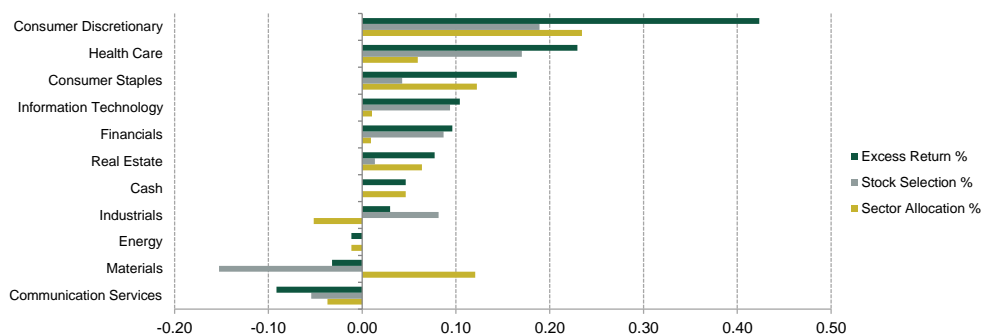
Source: UBP; data as at 28.05.2021

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## PERFORMANCE ATTRIBUTION

### SECTOR PERFORMANCE ATTRIBUTION

Period	30/04/2021 - 28/05/2021
Net Return %	-0.85
Index Return %	-1.78
Excess Return %	0.93
Allocation %	0.57
Selection %	0.47
Currency %	0.00
Residual %	-0.00
Others %	-0.10



Source: UBP; data as at 28.05.2021

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## TOP CONTRIBUTORS / DETRACTORS

### MAIN RELATIVE CONTRIBUTORS

Name	Portfolio Weight (avg)	Index Weight (avg)	Portfolio Return	Portfolio Contribution	Excess Return Contribution	Country	Sector
TESLA MTRS		2.66%			0.30%	USA	Consumer Discretionary
J.P. MORGAN CHASE	1.69%		6.78%	0.11%	0.14%	USA	Financials
APPLE INC	8.89%	12.10%	-5.10%	-0.47%	0.11%	USA	Information Technology
CSL	1.41%		6.42%	0.09%	0.11%	Australia	Health Care
HONEYWELL INTL.	1.99%		3.82%	0.07%	0.11%	USA	Industrials

### MAIN RELATIVE DETRACTORS

Name	Portfolio Weight (avg)	Index Weight (avg)	Portfolio Return	Portfolio Contribution	Excess Return Contribution	Country	Sector
ELI LILLY & CO.		0.93%			-0.10%	USA	Health Care
ALPHABET-C		3.91%			-0.07%	USA	Communication Services
SERVICENOW	1.78%	0.52%	-6.42%	-0.12%	-0.06%	USA	Information Technology
INTUIT		0.61%			-0.05%	USA	Information Technology
BOEING CO		0.68%			-0.05%	USA	Industrials

Source: UBP; data as at 28.05.2021

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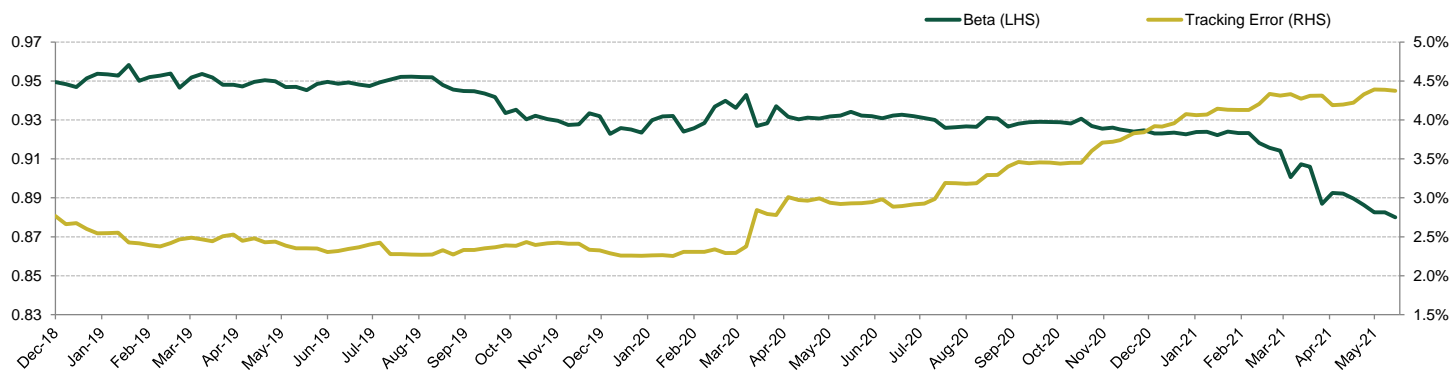
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## HISTORICAL ANALYSIS

### PORTFOLIO DATA

	May-21	Apr-21	Mar-21	Feb-21	Jan-21	Dec-20	Nov-20
Top 10 holdings weight	51.2%	52.0%	51.3%	51.9%	51.3%	51.5%	50.1%
Number of holdings	40	40	40	40	39	39	39
Tracking Error (1 year)	4.4%	4.2%	4.3%	4.2%	4.1%	4.0%	3.7%
Beta (1 year)	0.88	0.89	0.91	0.92	0.92	0.92	0.93
Large Caps (> USD 20 billion)	97.4%	98.8%	96.9%	96.9%	96.5%	96.2%	95.8%
Mid Caps (USD 7-20 billion)	2.6%	1.2%	3.1%	3.1%	3.5%	3.8%	4.2%
Small Caps (< USD 7 billion)	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

### ◆ BETA AND TRACKING ERROR - TRAILING 52 WEEKS



Source: UBP; data as at 28.05.2021

## GENERAL INFORMATION

<b>Name</b>	UBAM - US Equity Growth	<b>Applicable Management fee<sup>1</sup></b>	AC USD: 1.50% IC USD: 1.00% UC USD: 1.00%	APC USD: 1.00% IPC USD: 0.63% UPC USD: 0.63%
<b>Legal form</b>	Sub-fund of UBAM, Luxembourg Incorporated SICAV, UCITS	<b>Performance fee</b>	10% above MSCI USA Growth (applicable only to P-shares)	
<b>Base Currency</b>	USD	<b>Registered Countries<sup>2</sup></b>	AT, CH, DE, ES, FI, FR, IT, LU, NL, NO, SE, UK, SG,	
<b>Currency Hedged Share Classes</b>	CHF, EUR, GBP, SEK	<b>ISIN</b>	AC USD: LU1704633400 IC USD: LU1704635793 UC USD: LU1704637906	APC USD: LU1704634630 IPC USD: LU1704636767 UPC USD: LU1704638979
<b>Cut-off time</b>	13:00 (LU time)	<b>Bloomberg Ticker</b>	AC USD: UBUEAUC LX IC USD: UBUEIUC LX	
<b>Inception date</b>	19/12/2017	<b>Investment Manager</b>	B. Riley Wealth Management, Memphis	
<b>Minimum Investment</b>	None	<b>Depository Bank</b>	BNP Paribas Securities Services, Luxembourg Branch	
<b>Liquidity</b>	Daily	<b>Administrator</b>	CACEIS Bank, Luxembourg Branch	

<sup>1</sup> Main share classes mentioned. Other share classes are available. I: Institutional share class. U: RDR compliant share class. C: Capitalisation share class. P: Performance-fee share class.

<sup>2</sup> We remind you that depending on the country, certain share classes may or may not be registered. Please inform yourself about them before any subscription.

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