



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 14.10.2020

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(\$) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT OVER DISTRIBUTIONS IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC (EUR)	31.12.2019	EUR	0.0000	No distribution	0.0000	30.06.2020	-	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC (USD)	31.12.2019	USD	0.0000	No distribution	0.0000	30.06.2020	-	Yes
FR0011375419	UBAM Convertibles	UBAM Convertibles Europe	UC (EUR)	26.03.2019	EUR	0.0000	No distribution	0.0000	26.09.2019	-	No
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC (GBP)	31.12.2019	GBP	0.0000	No distribution	0.0000	30.06.2020	-	Yes
FR0010644674	UBAM Convertibles	UBAM Convertibles Europe 10-40	AC (EUR)	31.12.2019	EUR	0.0000	No distribution	0.0000	30.06.2020	0.0363	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHC (USD)	31.12.2019	USD	0.0000	No distribution	0.0000	30.06.2020	-	Yes
FR0011375112	UBAM Convertibles	UBAM Convertibles Europe 10-40	UC (EUR)	31.12.2019	EUR	0.0000	No distribution	0.0497	30.06.2020	0.0036	Yes
FR0011168723	UBAM Convertibles	UBAM Convertibles Europe 10-40	ZC (EUR)	31.12.2019	EUR	0.0000	No distribution	7.0003	30.06.2020	2.0345	Yes
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC (EUR)	31.12.2019	EUR	0.0000	No distribution	3.2387	30.06.2020	0.0009	Yes
FR0011355726	UBAM Convertibles	UBAM Convertibles Global	AHC (USD)	31.12.2019	USD	0.0000	No distribution	4.8709	30.06.2020	0.0005	Yes
FR0011375484	UBAM Convertibles	UBAM Convertibles Global	UC (EUR)	31.12.2019	EUR	0.0000	No distribution	7.9490	30.06.2020	0.8254	Yes
FR0011355668	UBAM Convertibles	UBAM Convertibles Global	ZC (EUR)	31.12.2019	EUR	0.0000	No distribution	16.6274	30.06.2020	5.8860	Yes
FR0011914803	UBAM Convertibles	UBAM Convertibles Global 10-40	AC (EUR)	31.12.2019	EUR	0.0000	No distribution	5.7240	30.06.2020	0.4955	Yes
FR0011914837	UBAM Convertibles	UBAM Convertibles Global 10-40	AHC (USD)	31.12.2019	USD	0.0000	No distribution	5.4330	30.06.2020	-	Yes
FR0011914852	UBAM Convertibles	UBAM Convertibles Global 10-40	ZC (EUR)	12.11.2019	EUR	0.0000	No distribution	11.8852	12.05.2020	4.2312	No
Distributing shares											
FR0011408392	UBAM Convertibles	UBAM Convertibles Europe	AD (EUR)	31.12.2019	EUR	0.0000	N/A	0.0000	30.06.2020	-	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD (USD)	31.12.2019	USD	0.0000	N/A	0.0000	30.06.2020	-	Yes
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD (GBP)	31.12.2019	GBP	0.0000	N/A	0.0000	30.06.2020	-	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD (GBP)	31.12.2019	GBP	0.0000	N/A	0.0000	30.06.2020	-	Yes
FR0011408384	UBAM Convertibles	UBAM Convertibles Europe 10-40	AD (EUR)	31.12.2019	EUR	0.0000	N/A	0.0000	30.06.2020	-	Yes
FR0011168764	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHD (USD)	31.12.2019	USD	0.0000	N/A	0.0000	30.06.2020	-	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHD (GBP)	31.12.2019	GBP	0.0000	N/A	0.0000	30.06.2020	-	Yes
FR0011375120	UBAM Convertibles	UBAM Convertibles Europe 10-40	UD (EUR)	08.08.2019	EUR	0.0000	N/A	20.0864	08.02.2020	-	No
FR0011408418	UBAM Convertibles	UBAM Convertibles Global	AD (EUR)	31.12.2019	EUR	0.0000	N/A	2.8351	30.06.2020	-	Yes
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD (GBP)	31.12.2019	GBP	0.0000	N/A	1.5994	30.06.2020	-	Yes
FR0011375492	UBAM Convertibles	UBAM Convertibles Global	UD (EUR)	31.12.2019	EUR	0.0000	N/A	9.0727	30.06.2020	0.6183	Yes
FR0011375518	UBAM Convertibles	UBAM Convertibles Global	UHD (GBP)	31.12.2019	GBP	0.0000	N/A	6.9836	30.06.2020	0.8378	Yes
FR0011914951	UBAM Convertibles	UBAM Convertibles Global 10-40	AD (EUR)	31.12.2019	EUR	0.0000	N/A	3.9493	30.06.2020	-	Yes
FR0011914845	UBAM Convertibles	UBAM Convertibles Global 10-40	AHD (USD)	31.12.2019	USD	0.0000	N/A	5.3275	30.06.2020	-	Yes
FR0011914944	UBAM Convertibles	UBAM Convertibles Global 10-40	UHD (GBP)	31.12.2019	GBP	0.0000	N/A	6.2589	30.06.2020	-	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 27.09.2019

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(\$) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT OVER DISTRIBUTIONS IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC (EUR)	31.12.2018	EUR	0.0000	No distribution	1.1813	30.06.2019	-	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC (USD)	31.12.2018	USD	0.0000	No distribution	0.7570	30.06.2019	-	Yes
FR0011375419	UBAM Convertibles	UBAM Convertibles Europe	UC (EUR)	31.12.2018	EUR	0.0000	No distribution	4.3508	30.06.2019	-	Yes
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC GBP	31.12.2018	GBP	0.0000	No distribution	4.3679	30.06.2019	-	Yes
FR0010644674	UBAM Convertibles	UBAM Convertibles Europe 10-40	AC (EUR)	31.12.2018	EUR	0.0000	No distribution	0.0000	30.06.2019	0.0020	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHC (USD)	31.12.2018	USD	0.0000	No distribution	0.0000	30.06.2019	-	Yes
FR0011168798	UBAM Convertibles	UBAM Convertibles Europe 10-40	SC (EUR)	08.11.2018	EUR	0.0000	No distribution	0.0000	08.05.2019	-	No
FR0011375112	UBAM Convertibles	UBAM Convertibles Europe 10-40	UC (EUR)	31.12.2018	EUR	0.0000	No distribution	0.4853	30.06.2019	0.0766	Yes
FR0011168723	UBAM Convertibles	UBAM Convertibles Europe 10-40	ZC (EUR)	31.12.2018	EUR	0.0000	No distribution	7.1825	30.06.2019	2.5253	Yes
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC (EUR)	31.12.2018	EUR	0.0000	No distribution	0.0000	30.06.2019	1.8095	Yes
FR0011355726	UBAM Convertibles	UBAM Convertibles Global	AHC (USD)	31.12.2018	USD	0.0000	No distribution	0.0000	30.06.2019	3.0979	Yes
FR0011375484	UBAM Convertibles	UBAM Convertibles Global	UC (EUR)	31.12.2018	EUR	0.0000	No distribution	0.0000	30.06.2019	2.1816	Yes
FR0011355668	UBAM Convertibles	UBAM Convertibles Global	ZC (EUR)	31.12.2018	EUR	0.0000	No distribution	0.0000	30.06.2019	5.7107	Yes
FR0011914803	UBAM Convertibles	UBAM Convertibles Global 10-40	AC (EUR)	31.12.2018	EUR	0.0000	No distribution	0.0000	30.06.2019	0.0533	Yes
FR0011914837	UBAM Convertibles	UBAM Convertibles Global 10-40	AHC (USD)	31.12.2018	USD	0.0000	No distribution	0.0000	30.06.2019	-	Yes
FR0011914894	UBAM Convertibles	UBAM Convertibles Global 10-40	UC (EUR)	28.10.2018	EUR	0.0000	No distribution	0.0000	27.04.2019	-	No
FR0011914852	UBAM Convertibles	UBAM Convertibles Global 10-40	ZC (EUR)	31.12.2018	EUR	0.0000	No distribution	6.7757	30.06.2019	2.9836	Yes
Distributing shares											
FR0011408392	UBAM Convertibles	UBAM Convertibles Europe	AD (EUR)	31.12.2018	EUR	0.0000	N/A	3.3240	30.06.2019	-	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD (USD)	31.12.2018	USD	0.0000	N/A	0.2639	30.06.2019	-	Yes
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD (GBP)	31.12.2018	GBP	0.0000	N/A	1.1932	30.06.2019	-	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD (GBP)	31.12.2018	GBP	0.0000	N/A	5.3941	30.06.2019	-	Yes
FR0011408384	UBAM Convertibles	UBAM Convertibles Europe 10-40	AD (EUR)	31.12.2018	EUR	0.0000	N/A	0.0000	30.06.2019	-	Yes
FR0011168764	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHD (USD)	31.12.2018	USD	0.0000	N/A	0.0000	30.06.2019	-	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHD (GBP)	31.12.2018	GBP	0.0000	N/A	0.0000	30.06.2019	-	Yes
FR0011375120	UBAM Convertibles	UBAM Convertibles Europe 10-40	UD (EUR)	31.12.2018	EUR	1.2100	25.04.2019	0.0000	30.06.2019	0.0617	Yes
FR0011408418	UBAM Convertibles	UBAM Convertibles Global	AD (EUR)	31.12.2018	EUR	0.6300	25.04.2019	0.0000	30.06.2019	0.6456	Yes
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD (GBP)	31.12.2018	GBP	0.7346	25.04.2019	0.0000	30.06.2019	-	Yes
FR0011375492	UBAM Convertibles	UBAM Convertibles Global	UD (EUR)	31.12.2018	EUR	3.8000	25.04.2019	0.0000	30.06.2019	2.4378	Yes
FR0011375518	UBAM Convertibles	UBAM Convertibles Global	UHD (GBP)	31.12.2018	GBP	2.7140	25.04.2019	0.0000	30.06.2019	-	Yes
FR0011914951	UBAM Convertibles	UBAM Convertibles Global 10-40	AD (EUR)	31.12.2018	EUR	0.0000	N/A	0.0000	30.06.2019	-	Yes
FR0011914845	UBAM Convertibles	UBAM Convertibles Global 10-40	AHD (USD)	31.12.2018	USD	0.0000	N/A	0.0000	30.06.2019	-	Yes
FR0011914944	UBAM Convertibles	UBAM Convertibles Global 10-40	UHD (GBP)	31.12.2018	GBP	0.6828	25.04.2019	0.0000	30.06.2019	-	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 30.10.2018

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(\$) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT OVER DISTRIBUTIONS IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC (EUR)	31.12.2017	EUR	0.0000	No distribution	0.0000	30.06.2018	-	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC (USD)	31.12.2017	USD	0.0000	No distribution	0.0000	30.06.2018	-	Yes
FR0011168863	UBAM Convertibles	UBAM Convertibles Europe	ZC (EUR)	29.09.2017	EUR	0.0000	No distribution	1.9462	29.03.2018	1.6328	No
FR0011375419	UBAM Convertibles	UBAM Convertibles Europe	UC (EUR)	31.12.2017	EUR	0.0000	No distribution	0.0000	30.06.2018	0.1560	Yes
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC GBP	31.12.2017	GBP	0.0000	No distribution	0.0000	30.06.2018	-	Yes
FR0010644674	UBAM Convertibles	UBAM Convertibles Europe 10-40	AC (EUR)	31.12.2017	EUR	0.0000	No distribution	2.1599	30.06.2018	0.0468	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHC (USD)	31.12.2017	USD	0.0000	No distribution	3.6508	30.06.2018	0.2072	Yes
FR0011168723	UBAM Convertibles	UBAM Convertibles Europe 10-40	ZC (EUR)	31.12.2017	EUR	0.0000	No distribution	12.3586	30.06.2018	2.8434	Yes
FR0011168798	UBAM Convertibles	UBAM Convertibles Europe 10-40	SC (EUR)	31.12.2017	EUR	0.0000	No distribution	4.6695	30.06.2018	0.0755	Yes
FR0011375112	UBAM Convertibles	UBAM Convertibles Europe 10-40	UC (EUR)	31.12.2017	EUR	0.0000	No distribution	3.2917	30.06.2018	0.8443	Yes
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC (EUR)	31.12.2017	EUR	0.0000	No distribution	8.9727	30.06.2018	0.3216	Yes
FR0011355726	UBAM Convertibles	UBAM Convertibles Global	AHC (USD)	31.12.2017	USD	0.0000	No distribution	15.8860	30.06.2018	0.3864	Yes
FR0011355668	UBAM Convertibles	UBAM Convertibles Global	ZC (EUR)	31.12.2017	EUR	0.0000	No distribution	21.6108	30.06.2018	8.0215	Yes
FR0011914803	UBAM Convertibles	UBAM Convertibles Global 10-40	AC (EUR)	31.12.2017	EUR	0.0000	No distribution	8.6177	30.06.2018	-	Yes
FR0011914837	UBAM Convertibles	UBAM Convertibles Global 10-40	AHC (USD)	31.12.2017	USD	0.0000	No distribution	18.9073	30.06.2018	0.1363	Yes
FR0011914894	UBAM Convertibles	UBAM Convertibles Global 10-40	UC (EUR)	31.12.2017	EUR	0.0000	No distribution	0.3015	30.06.2018	-	Yes
FR0011914852	UBAM Convertibles	UBAM Convertibles Global 10-40	ZC (EUR)	31.12.2017	EUR	0.0000	No distribution	23.6217	30.06.2018	3.5286	Yes
Distributing shares											
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD (GBP)	31.12.2017	GBP	N/A	N/A	0.0000	30.06.2018	-	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD (USD)	31.12.2017	USD	N/A	N/A	0.0000	30.06.2018	-	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD (GBP)	31.12.2017	GBP	0.2184	25.04.2018	0.0000	30.06.2018	-	Yes
FR0011408392	UBAM Convertibles	UBAM Convertibles Europe 10-40	AD (EUR)	31.12.2017	EUR	N/A	N/A	0.0000	30.06.2018	-	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHD (GBP)	31.12.2017	GBP	N/A	N/A	1.3334	30.06.2018	-	Yes
FR0011168764	UBAM Convertibles	UBAM Convertibles Europe 10-40	AHD (USD)	31.12.2017	USD	N/A	N/A	2.1152	30.06.2018	-	Yes
FR0011375120	UBAM Convertibles	UBAM Convertibles Europe 10-40	UD (EUR)	31.12.2017	EUR	3.0800	25.04.2018	0.0000	30.06.2018	1.3320	Yes
FR0011408384	UBAM Convertibles	UBAM Convertibles Global	AD (EUR)	31.12.2017	EUR	N/A	N/A	1.4960	30.06.2018	0.0146	Yes
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD (GBP)	31.12.2017	GBP	0.1048	25.04.2018	15.1249	30.06.2018	0.6275	Yes
FR0011375492	UBAM Convertibles	UBAM Convertibles Global	UD (EUR)	31.12.2017	EUR	5.6700	25.04.2018	6.0188	30.06.2018	2.7553	Yes
FR0011914951	UBAM Convertibles	UBAM Convertibles Global 10-40	AD (EUR)	31.12.2017	EUR	N/A	N/A	9.0172	30.06.2018	-	Yes
FR0011914845	UBAM Convertibles	UBAM Convertibles Global 10-40	AHD (USD)	31.12.2017	USD	N/A	N/A	14.0800	30.06.2018	-	Yes
FR0011914944	UBAM Convertibles	UBAM Convertibles Global 10-40	UHD (GBP)	31.12.2017	GBP	N/A	N/A	2.6370	30.06.2018	-	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 26.10.2017

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(\$) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT OVER DISTRIBUTIONS IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC (EUR)	31.12.2016	EUR	0.0000	No distribution	4.6816	30.06.2017	0.7738	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC (USD)	31.12.2016	USD	0.0000	No distribution	4.2495	30.06.2017	0.5521	Yes
FR0011168863	UBAM Convertibles	UBAM Convertibles Europe	ZC (EUR)	31.12.2016	EUR	0.0000	No distribution	16.7697	30.06.2017	5.5708	Yes
FR0011375419	UBAM Convertibles	UBAM Convertibles Europe	UC (EUR)	31.12.2016	EUR	0.0000	No distribution	5.0926	30.06.2017	5.3169	Yes
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC GBP	31.12.2016	GBP	0.0000	No distribution	8.2233	30.06.2017	-	Yes
FR0010644674	UBAM Convertibles	UBAM Convertibles Euro 10-40	AC (EUR)	31.12.2016	EUR	0.0000	No distribution	11.1864	30.06.2017	6.7109	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHC (USD)	31.12.2016	USD	0.0000	No distribution	10.5287	30.06.2017	5.3437	Yes
FR0011168723	UBAM Convertibles	UBAM Convertibles Euro 10-40	ZC (EUR)	31.12.2016	EUR	0.0000	No distribution	18.1627	30.06.2017	8.9545	Yes
FR0011168798	UBAM Convertibles	UBAM Convertibles Euro 10-40	SC (EUR)	31.12.2016	EUR	0.0000	No distribution	11.6417	30.06.2017	4.0855	Yes
FR0011375112	UBAM Convertibles	UBAM Convertibles Euro 10-40	UC (EUR)	31.12.2016	EUR	0.0000	No distribution	10.2987	30.06.2017	4.9696	Yes
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC (EUR)	31.12.2016	EUR	0.0000	No distribution	6.6034	30.06.2017	0.3530	Yes
FR0011355668	UBAM Convertibles	UBAM Convertibles Global	ZC (EUR)	31.12.2016	EUR	0.0000	No distribution	19.3799	30.06.2017	5.3120	Yes
FR0011355726	UBAM Convertibles	UBAM Convertibles Global	AHC (USD)	31.12.2016	USD	0.0000	No distribution	13.6347	30.06.2017	0.4957	Yes
FR0011914803	UBAM Convertibles	UBAM Convertibles Global 10-40	AC (EUR)	31.12.2016	EUR	0.0000	No distribution	10.1794	30.06.2017	0.4419	Yes
FR0011914837	UBAM Convertibles	UBAM Convertibles Global 10-40	AHC (USD)	31.12.2016	USD	0.0000	No distribution	77.6107	30.06.2017	0.3958	Yes
FR0011914852	UBAM Convertibles	UBAM Convertibles Global 10-40	ZC (EUR)	31.12.2016	EUR	0.0000	No distribution	20.9773	30.06.2017	2.6504	Yes
Distributing shares											
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD (GBP)	31.12.2016	GBP	0.1358	25.04.2017	3.0140	30.06.2017	1.1966	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD (USD)	31.12.2016	USD	0.0544	25.04.2017	6.3498	30.06.2017	-	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD (GBP)	31.12.2016	GBP	6.4460	25.04.2017	2.5157	30.06.2017	-	Yes
FR0011408392	UBAM Convertibles	UBAM Convertibles Europe	AD (EUR)	31.12.2016	EUR	0.0400	25.04.2017	1.4081	30.06.2017	1.3095	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (GBP)	31.12.2016	GBP	8.4928	25.04.2017	1.0882	30.06.2017	4.1442	Yes
FR0011168764	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (USD)	31.12.2016	USD	11.1523	25.04.2017	1.9085	30.06.2017	6.9224	Yes
FR0011375120	UBAM Convertibles	UBAM Convertibles Euro 10-40	UD (EUR)	31.12.2016	EUR	10.4300	25.04.2017	0.0000	30.06.2017	6.1917	Yes
FR0011408384	UBAM Convertibles	UBAM Convertibles Euro 10-40	AD (EUR)	31.12.2016	EUR	7.2900	25.04.2017	0.7478	30.06.2017	4.5169	Yes
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD (GBP)	31.12.2016	GBP	2.1826	25.04.2017	4.1743	30.06.2017	-	Yes
FR0011375492	UBAM Convertibles	UBAM Convertibles Global	UD (EUR)	31.12.2016	EUR	7.0600	25.04.2017	3.5666	30.06.2017	1.5097	Yes
FR0011914951	UBAM Convertibles	UBAM Convertibles Global 10-40	AD (EUR)	31.12.2016	EUR	1.6000	25.04.2017	8.6287	30.06.2017	0.3665	Yes
FR0011914845	UBAM Convertibles	UBAM Convertibles Global 10-40	AHD (USD)	31.12.2016	USD	0.9692	25.04.2017	4.9551	30.06.2017	-	Yes
FR0011914910	UBAM Convertibles	UBAM Convertibles Global 10-40	UD (EUR)	31.12.2016	EUR	0.0000	No distribution	46.8858	30.06.2017	0.5751	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 27.10.2016

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT IN RESPECT OF THE REPORTING PERIOD OVER DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC (EUR)	31.12.2015	EUR	0.0000	No distribution	11.0756	30.06.2016	3.3369	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC (USD)	31.12.2015	USD	0.0000	No distribution	13.8918	30.06.2016	0.4151	Yes
FR0011168863	UBAM Convertibles	UBAM Convertibles Europe	ZC (EUR)	31.12.2015	EUR	0.0000	No distribution	23.7111	30.06.2016	2.2666	Yes
FR0011375419	UBAM Convertibles	UBAM Convertibles Europe	UC (EUR)	31.12.2015	EUR	0.0000	No distribution	12.2616	30.06.2016	-	Yes
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC GBP	31.12.2015	GBP	0.0000	No distribution	14.5966	30.06.2016	0.1452	Yes
FR0010644674	UBAM Convertibles	UBAM Convertibles Euro 10-40	AC (EUR)	31.12.2015	EUR	0.0000	No distribution	22.5221	30.06.2016	12.2418	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHC (USD)	31.12.2015	USD	0.0000	No distribution	21.8476	30.06.2016	7.3932	Yes
FR0011168723	UBAM Convertibles	UBAM Convertibles Euro 10-40	ZC (EUR)	31.12.2015	EUR	0.0000	No distribution	26.8243	30.06.2016	6.8380	Yes
FR0011168798	UBAM Convertibles	UBAM Convertibles Euro 10-40	SC (EUR)	31.12.2015	EUR	0.0000	No distribution	20.0964	30.06.2016	1.7359	Yes
FR0011375112	UBAM Convertibles	UBAM Convertibles Euro 10-40	UC (EUR)	31.12.2015	EUR	0.0000	No distribution	16.4032	30.06.2016	0.5901	Yes
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC (EUR)	31.12.2015	EUR	0.0000	No distribution	1.9966	30.06.2016	0.7101	Yes
FR0011355668	UBAM Convertibles	UBAM Convertibles Global	ZC (EUR)	31.12.2015	EUR	0.0000	No distribution	15.4845	30.06.2016	5.2561	Yes
FR0011355726	UBAM Convertibles	UBAM Convertibles Global	AHC (USD)	31.12.2015	USD	0.0000	No distribution	3.0891	30.06.2016	0.2388	Yes
FR0011914803	UBAM Convertibles	UBAM Convertibles Global 10-40	AC (EUR)	31.12.2015	EUR	0.0000	No distribution	9.7807	30.06.2016	0.2952	Yes
FR0011914837	UBAM Convertibles	UBAM Convertibles Global 10-40	AHC (USD)	31.12.2015	USD	0.0000	No distribution	6.4206	30.06.2016	-	Yes
FR0011914852	UBAM Convertibles	UBAM Convertibles Global 10-40	ZC (EUR)	31.12.2015	EUR	0.0000	No distribution	23.6392	30.06.2016	5.5658	Yes
Distributing shares											
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD (GBP)	31.12.2015	GBP	5.5245	28.04.2016	4.5629	30.06.2016	-	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD (USD)	31.12.2015	USD	6.1627	28.04.2016	5.6756	30.06.2016	-	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD (GBP)	31.12.2015	GBP	11.4830	28.04.2016	4.8028	30.06.2016	-	Yes
FR0011408392	UBAM Convertibles	UBAM Convertibles Europe	AD (EUR)	31.12.2015	EUR	4.1700	28.04.2016	5.6984	30.06.2016	-	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (GBP)	31.12.2015	GBP	15.2564	28.04.2016	6.7169	30.06.2016	0.8478	Yes
FR0011168764	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (USD)	31.12.2015	USD	20.7116	28.04.2016	2.1309	30.06.2016	0.8042	Yes
FR0011375120	UBAM Convertibles	UBAM Convertibles Euro 10-40	UD (EUR)	31.12.2015	EUR	16.0800	28.04.2016	0.3362	30.06.2016	-	Yes
FR0011408384	UBAM Convertibles	UBAM Convertibles Euro 10-40	AD (EUR)	31.12.2015	EUR	13.4500	28.04.2016	0.0000	30.06.2016	8.2124	Yes
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD (GBP)	31.12.2015	GBP	1.8363	28.04.2016	0.0000	30.06.2016	-	Yes
FR0011375492	UBAM Convertibles	UBAM Convertibles Global	UD (EUR)	31.12.2015	EUR	0.0000	N/A	0.0000	30.06.2016	-	Yes
FR0011914951	UBAM Convertibles	UBAM Convertibles Global 10-40	AD (EUR)	31.12.2015	EUR	4.5800	28.04.2016	6.6985	30.06.2016	-	Yes
FR0011914910	UBAM Convertibles	UBAM Convertibles Global 10-40	UD (EUR)	31.12.2015	EUR	0.0000	N/A	0.0000	30.06.2016	-	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 03.09.2014

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT IN RESPECT OF THE REPORTING PERIOD OVER DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC (EUR)	31.12.2013	EUR	0.00	No distribution	0.0000	30.06.2014	1.4716	Yes
FR0011355668	UBAM Convertibles	UBAM Convertibles Global	ZC (EUR)	31.12.2013	EUR	0.00	No distribution	0.0000	30.06.2014	0.0000	Yes
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC (EUR)	31.12.2013	EUR	0.00	No distribution	8.8211	30.06.2014	5.6259	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC (USD)	31.12.2013	USD	0.00	No distribution	12.2295	30.06.2014	7.8883	Yes
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC (GBP)	31.12.2013	GBP	0.00	No distribution	8.1770	30.06.2014	7.6634	Yes
FR0011168863	UBAM Convertibles	UBAM Convertibles Europe	ZC (EUR)	31.12.2013	EUR	0.00	No distribution	21.0903	30.06.2014	14.6938	Yes
FR0010644674	UBAM Convertibles	UBAM Convertibles Euro 10-40	AC (EUR)	31.12.2013	EUR	0.00	No distribution	37.4405	30.06.2014	15.9879	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHC (USD)	31.12.2013	USD	0.00	No distribution	38.2375	30.06.2014	15.0638	Yes
FR0011168723	UBAM Convertibles	UBAM Convertibles Euro 10-40	ZC (EUR)	31.12.2013	EUR	0.00	No distribution	33.2751	30.06.2014	19.1812	Yes
Distributing shares											
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD (GBP)	31.12.2013	GBP	9.70	25/04/2014	0.0000	30.06.2014	0.0000	Yes
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD (GBP)	31.12.2013	GBP	15.26	25/04/2014	0.0000	30.06.2014	8.0556	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD (USD)	31.12.2013	USD	19.11	25/04/2014	0.0000	30.06.2014	9.0799	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD (GBP)	31.12.2013	GBP	14.39	25/04/2014	0.0000	30.06.2014	7.4585	Yes
FR0011408392	UBAM Convertibles	UBAM Convertibles Europe	AD (EUR)	31.12.2013	EUR	8.15	25/04/2014	0.0000	30.06.2014	0.0000	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (GBP)	31.12.2013	GBP	17.76	25/04/2014	0.0000	30.06.2014	7.5446	Yes
FR0011168764	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (USD)	31.12.2013	USD	27.61	25/04/2014	0.0000	30.06.2014	17.7167	Yes
FR0011408384	UBAM Convertibles	UBAM Convertibles Euro 10-40	AD (EUR)	31.12.2013	EUR	13.67	25/04/2014	0.0000	30.06.2014	11.4065	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 03.09.2014

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT IN RESPECT OF THE REPORTING PERIOD OVER DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC (EUR)	31.12.2013	EUR	0.00	No distribution	0.0000	30.06.2014	1.4716	Yes
FR0011355668	UBAM Convertibles	UBAM Convertibles Global	ZC (EUR)	31.12.2013	EUR	0.00	No distribution	0.0000	30.06.2014	0.0000	Yes
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC (EUR)	31.12.2013	EUR	0.00	No distribution	8.8211	30.06.2014	5.6259	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC (USD)	31.12.2013	USD	0.00	No distribution	12.2295	30.06.2014	7.8883	Yes
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC (GBP)	31.12.2013	GBP	0.00	No distribution	8.1770	30.06.2014	7.6634	Yes
FR0011168863	UBAM Convertibles	UBAM Convertibles Europe	ZC (EUR)	31.12.2013	EUR	0.00	No distribution	21.0903	30.06.2014	14.6938	Yes
FR0010644674	UBAM Convertibles	UBAM Convertibles Euro 10-40	AC (EUR)	31.12.2013	EUR	0.00	No distribution	37.4405	30.06.2014	15.9879	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHC (USD)	31.12.2013	USD	0.00	No distribution	38.2375	30.06.2014	15.0638	Yes
FR0011168723	UBAM Convertibles	UBAM Convertibles Euro 10-40	ZC (EUR)	31.12.2013	EUR	0.00	No distribution	33.2751	30.06.2014	19.1812	Yes
Distributing shares											
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD (GBP)	31.12.2013	GBP	9.70	25/04/2014	0.0000	30.06.2014	0.0000	Yes
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD (GBP)	31.12.2013	GBP	15.26	25/04/2014	0.0000	30.06.2014	8.0556	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD (USD)	31.12.2013	USD	19.11	25/04/2014	0.0000	30.06.2014	9.0799	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD (GBP)	31.12.2013	GBP	14.39	25/04/2014	0.0000	30.06.2014	7.4585	Yes
FR0011408392	UBAM Convertibles	UBAM Convertibles Europe	AD (EUR)	31.12.2013	EUR	8.15	25/04/2014	0.0000	30.06.2014	0.0000	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (GBP)	31.12.2013	GBP	17.76	25/04/2014	0.0000	30.06.2014	7.5446	Yes
FR0011168764	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD (USD)	31.12.2013	USD	27.61	25/04/2014	0.0000	30.06.2014	17.7167	Yes
FR0011408384	UBAM Convertibles	UBAM Convertibles Euro 10-40	AD (EUR)	31.12.2013	EUR	13.67	25/04/2014	0.0000	30.06.2014	11.4065	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 24.10.2013

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	CURRENCY	DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT IN RESPECT OF THE REPORTING PERIOD OVER DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
Accumulating Shares											
FR0010644674	UBAM Convertibles	UBAM Convertibles Euro 10-40	AC EUR	31.12.2012	EUR	0.00	NA	35.6958	30.06.2013	17.3686	Yes
FR0011168715	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHC USD	31.12.2012	USD	0.00	NA	26.1552	30.06.2013	30.0334	Yes
FR0011375112	UBAM Convertibles	UBAM Convertibles Euro 10-40	UC EUR	31.12.2012	EUR	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011375138	UBAM Convertibles	UBAM Convertibles Euro 10-40	UHC GBP	31.12.2012	GBP	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC EUR	31.12.2012	EUR	0.00	NA	20.8945	30.06.2013	13.1617	Yes
FR0011375450	UBAM Convertibles	UBAM Convertibles Europe	UHC GBP	31.12.2012	GBP	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011375419	UBAM Convertibles	UBAM Convertibles Europe	UC EUR	31.12.2012	EUR	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011168822	UBAM Convertibles	UBAM Convertibles Europe	AHC USD	31.12.2012	USD	0.00	NA	10.3128	30.06.2013	39.4150	Yes
FR0011335363	UBAM Convertibles	UBAM Convertibles Global	AC EUR	31.12.2012	EUR	0.00	NA	0.2718	30.06.2013	0.0000	Yes
FR0011375500	UBAM Convertibles	UBAM Convertibles Global	UHC GBP	31.12.2012	GBP	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011375484	UBAM Convertibles	UBAM Convertibles Global	UC EUR	31.12.2012	EUR	0.00	NA	0.0000	30.06.2013	0.0000	Yes
Distributing shares											
FR0011168764	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD USD	31.12.2012	USD	18.66	25.04.2013	9.1495	30.06.2013	18.9412	Yes
FR0010708735	UBAM Convertibles	UBAM Convertibles Euro 10-40	AHD GBP	31.12.2012	GBP	18.91	25.04.2013	1.2582	30.06.2013	15.4204	Yes
FR0011375120	UBAM Convertibles	UBAM Convertibles Euro 10-40	UD EUR	31.12.2012	EUR	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011375146	UBAM Convertibles	UBAM Convertibles Euro 10-40	UHD GBP	31.12.2012	GBP	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011168855	UBAM Convertibles	UBAM Convertibles Europe	AHD USD	31.12.2012	USD	3.61	25.04.2013	0.0000	30.06.2013	0.0000	Yes
FR0011375476	UBAM Convertibles	UBAM Convertibles Europe	UHD GBP	31.12.2012	GBP	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011375435	UBAM Convertibles	UBAM Convertibles Europe	UD EUR	31.12.2012	EUR	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD GBP	31.12.2012	GBP	27.50	25.04.2013	0.0000	30.06.2013	12.0661	Yes
FR0011375518	UBAM Convertibles	UBAM Convertibles Global	UHD GBP	31.12.2012	GBP	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011375492	UBAM Convertibles	UBAM Convertibles Global	UD EUR	31.12.2012	EUR	0.00	NA	0.0000	30.06.2013	0.0000	Yes
FR0011355692	UBAM Convertibles	UBAM Convertibles Global	AHD GBP	31.12.2012	GBP	0.00	NA	0.0000	30.06.2013	0.0000	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS) - Report to Participants

Date of Report: 25.09.2012

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS NAME	REPORTING PERIOD	DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	DISTRIBUTION DATE(S)	EXCESS OF REPORTED INCOME PER UNIT IN RESPECT OF THE REPORTING PERIOD OVER DISTRIBUTION(S) PER UNIT IN RESPECT OF THE REPORTING PERIOD	FUND DISTRIBUTION DATE	AVERAGE EQUALISATION AMOUNT PER UNIT IN RELATION TO ANY INTEREST ACQUIRED IN THE FUND IN THE REPORTING PERIOD	DID THE SHARE CLASS REMAIN A REPORTING FUND AT THE DATE THIS REPORT WAS MADE AVAILABLE?
FR0000941312	UBAM Convertibles	UBAM Convertibles Europe	AC EUR	01/01/2011-31/12/2011	N/A	N/A	€ 31.7678	30.06.2012	€ 12.2656	Yes
FR0010708743	UBAM Convertibles	UBAM Convertibles Europe	AHD GBP	01/01/2011-31/12/2011	£23.9347	25.04.2012	£5.8308	30.06.2012	£8.1167	Yes



UBAM CONVERTIBLES - UK Reporting Fund Status (UKRFS)

Account Period: 01.01.2010 to 31.12.2010

All share classes included below remain reporting funds at December 31, 2010

ISIN CODE	UMBRELLA NAME	FUND NAME	CLASS CODE	DISTRIBUTION PER UNIT	DISTRIBUTION DATE	REPORTABLE INCOME PER UNIT	EXCESS OF REPORTABLE INCOME OVER DISTRIBUTIONS	DATE OF STATUS	PUBLICATION DATE
FR0000941312	UBAM CONVERTIBLES	UBAM CONVERTIBLES EUROPE	C EUR	N/A	N/A	€32.2860	€32.2860	31.12.2010	24.06.2011
FR0010708743	UBAM CONVERTIBLES	UBAM CONVERTIBLES EUROPE	D GBP	27.8271	29.04.2011	£28.4604	£0.6333	31.12.2010	24.06.2011