



UBAM (CH) - SWISS SMALL AND MID CAP EQUITY

Class A CHF (distribution share)

Factsheet | June 2020

FUND CHARACTERISTICS

Fund domicile	SWITZERLAND
Currency	CHF
NAV	228.71
Fund's AUM	CHF 26.05 mio
Track record since	08 October 2010
Minimum investment	1 share
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee All-in	1.40 %
Number of holdings	44
Last dividend/Date	21.08.2019
ISIN	CH0117983723
Telekurs	11798372
Bloomberg ticker	USEXECA SW
Index	SWISS SPI EXTRA - RI - CHF

The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.

Lower risk, Higher risk,

←—————→

potentially lower rewards potentially higher rewards



SPECIFIC RISKS

- ◆ Liquidity risk: A market's possible inability to absorb given trading volumes, thus affecting the purchase and sales of positions held by the sub-fund.
- ◆ Counterparty risk: When a third party (borrower or structure) is not able to meet its obligations to the sub-fund, which may adversely affect the value.
- ◆ Operational risk: Arises when inadequate or defective internal processes negatively impact the value of the sub-fund.

PORTFOLIO INVESTED IN EQUITIES ISSUED BY COMPANIES BASED IN SWITZERLAND

ABOUT THE FUND

UBAM (CH) - Swiss Small and Mid Cap Equity is managed by UBP's dedicated three-strong Swiss Equity team.

Backed up by a team of seven global sector analysts.

Focus on companies with strong fundamentals, especially those with an improving or high and sustainable Cash Flow Return on Investment (CFROI).

PERFORMANCE EVOLUTION CHF (NET OF FEES)



Performance over 5 years or since inception. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise.

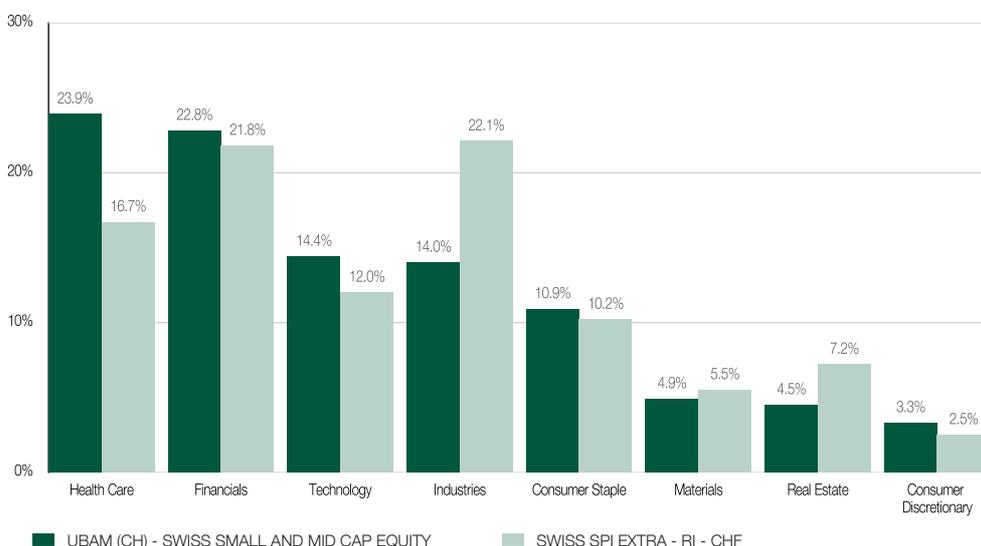
PERFORMANCE HISTORY CHF (NET OF FEES)

	YTD	2019	2018	2017	2016	2015
UBAM (CH) - SWISS SMALL AND MID CAP EQUITY	-6.51 %	33.16 %	-23.24 %	36.96 %	6.19 %	7.94 %
SWISS SPI EXTRA - RI - CHF	-6.70 %	30.42 %	-17.23 %	29.73 %	8.50 %	10.47 %

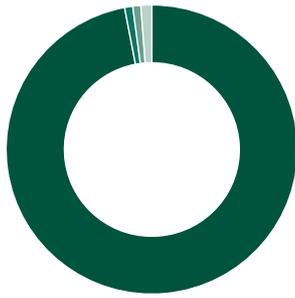
	June 2020	3 months	1 year	3 years	5 years	Since inception
UBAM (CH) - SWISS SMALL AND MID CAP EQUITY	2.16 %	16.14 %	0.63 %	6.97 %	47.00 %	128.71 %
SWISS SPI EXTRA - RI - CHF	1.30 %	14.51 %	1.40 %	10.62 %	55.53 %	145.30 %

Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

SECTOR COMPARISON



Source of data: UBP

COUNTRY BREAKDOWN


Source of data: UBP

MAIN HOLDINGS

Asset	Sector	Fund weight	Index weight
ACT Part Grp Hldg N	Financials	9.3%	7.0%
ACT Logitech Intl N	Information Technology	5.0%	3.9%
ACT TEMENOS N	Information Technology	4.3%	3.5%
ACT Straumann Hldg	Health Care	4.2%	3.3%
ACT Zur Rose Group	Consumer Staples	3.9%	0.8%
ACT Sonova Hldg N	Health Care	3.6%	3.7%
ACT Tecan Grp N	Health Care	3.5%	1.4%
ACT Baloise Hldg N	Financials	3.4%	2.4%
ACT Vifor Pharma N	Health Care	3.3%	2.1%
ACT Cembra Money Bk	Financials	3.3%	1.0%

Source of data: UBP

PORTFOLIO COMPOSITION - MONTHLY EVOLUTION

	June	May	April	March	February
Weight of the top ten holdings	43.82 %	43.63 %	41.81 %	43.62 %	43.71 %
Number of holdings	44	45	44	57	57
Large caps (> USD 5 billion)	44.17 %	42.65 %	43.92 %	44.05 %	47.35 %
Mid caps (USD 1.5-5 billion)	41.81 %	41.95 %	40.28 %	37.05 %	31.78 %
Small caps (< USD 1.5 billion)	14.02 %	15.40 %	15.80 %	18.90 %	20.87 %

Source of data: UBP

ADMINISTRATION
General distributor and Swiss representative

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

Fund management

GÉRIFONDS SA, Lausanne

Custodian bank

Banque Cantonale Vaudoise, Lausanne

Auditor

KPMG SA, Genève

Legal form

Investment fund incorporated under Swiss law, belonging to the "Other funds for traditional investments" category

REGISTRATION AND DOCUMENTATION
Countries where Distribution is Authorised

Switzerland

Registered office

Gérifonds SA, Lausanne

GLOSSARY
Benchmark

Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

Derivatives

Derivatives are financial instruments whose prices depend on the price movements in a reference variable, known as the underlying. Underlying assets may be shares, equity indices, government bonds, currencies, interest rates, commodities like wheat and gold, or also swaps. Derivative financial instruments may be unconditional forward transactions or they may be options. They are traded either on futures and options exchanges on standardised terms, or over-the-counter (OTC) on freely negotiated terms. Changes in the price of the underlying lead in certain situations to considerably higher price fluctuations in the derivative. Derivatives can be used to hedge against financial risks, to speculate on price changes (trading) or to take advantage of price differences between markets (arbitrage).

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