



# UBAM (CH) - SWISS SMALL AND MID CAP EQUITY

## Class A CHF (distribution share)

### Factsheet | December 2019

#### FUND CHARACTERISTICS

Fund domicile	CH - Switzerland
Currency	CHF
NAV	244.63
Fund's AUM	CHF 31.56 mio
Track record since	08 October 2010
Minimum investment	1 Share
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee All-in	1.40 %
Number of holdings	52
ISIN	CH0117983723
Telekurs	11798372

Index SPI Extra TR Synthetic

**The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.**

Lower risk, Higher risk,  

←
→
  
 potentially lower rewards potentially higher rewards



#### SPECIFIC RISKS

- ◆ **Liquidity risk:** A market's possible inability to absorb given trading volumes, thus affecting the purchase and sales of positions held by the sub-fund.
- ◆ **Counterparty risk:** When a third party (borrower or structure) is not able to meet its obligations to the sub-fund, which may adversely affect the value.
- ◆ **Operational risk:** Arises when inadequate or defective internal processes negatively impact the value of the sub-fund.

#### PORTFOLIO INVESTED IN EQUITIES ISSUED BY COMPANIES BASED IN SWITZERLAND

#### ABOUT THE FUND

The Fund seeks to grow your capital and generate income primarily by investing in Swiss equities. It is an actively managed, concentrated portfolio of stocks mainly denominated in Swiss Francs. The Fund is focused primarily on companies with a market capitalization of between CHF 100 million and CHF 10 billion.

#### PERFORMANCE EVOLUTION CHF (NET OF FEES)



Performance over 5 years or since inception. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise.

#### PERFORMANCE HISTORY CHF (NET OF FEES)

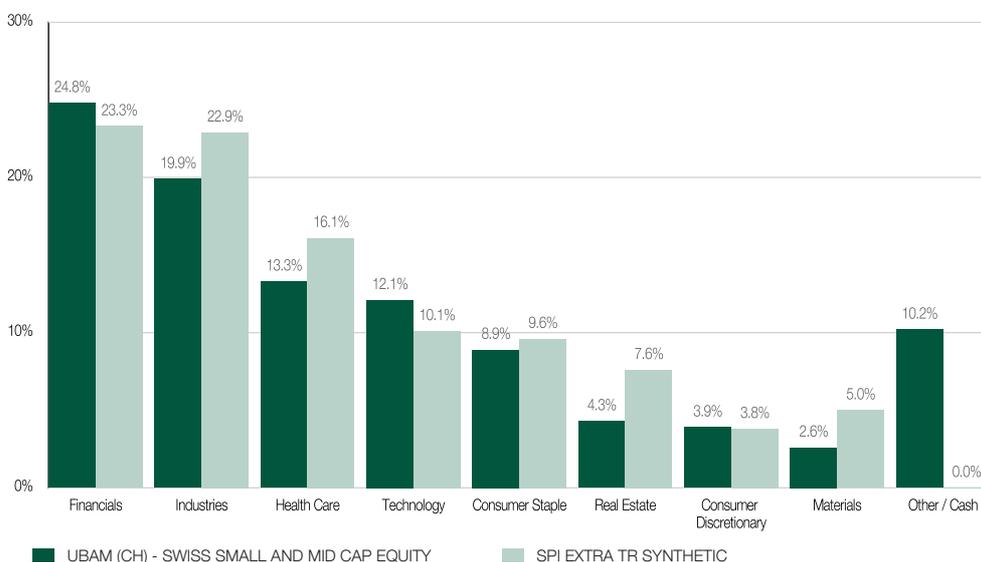
	YTD	2018	2017	2016	2015	2014
UBAM (CH) - SWISS SMALL AND MID CAP EQUITY	33.16 %	-23.24 %	36.96 %	6.19 %	7.94 %	11.47 %
SPI EXTRA TR SYNTHETIC	30.42 %	-17.23 %	29.73 %	8.50 %	10.47 %	13.00 %

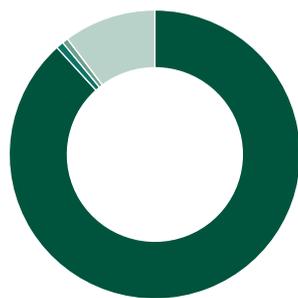
	December 2019	3 months	1 year	3 years	5 years	Since inception
UBAM (CH) - SWISS SMALL AND MID CAP EQUITY	3.25 %	8.28 %	33.16 %	39.99 %	60.78 %	148.32 %
SPI EXTRA TR SYNTHETIC	1.90 %	7.61 %	30.42 %	40.03 %	67.85 %	162.90 %

Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

#### SECTOR COMPARISON



Source of data: UBP

**COUNTRY BREAKDOWN**


Source of data: UBP

**MAIN HOLDINGS**

Asset	Sector	Fund weight	Index weight
PARTNERS GRP HLDG N	Financials	8.5%	6.7%
TEMENOS AG -NOM-	Technology	4.7%	3.3%
BALOISE-HLDG -RED-	Financials	4.3%	2.7%
SONOVA HOLDING AG	Health Care	3.7%	4.0%
LOGITECH INTL N	Technology	3.7%	2.6%
VIFOR PHARMA RG	Health Care	3.4%	2.2%
BARRY CALLEBAUT-REG-	Consumer Staple	3.3%	2.1%
HELVETIA HLDG RG	Financials	3.2%	1.5%
BELIMO, REGISTERED	Industries	2.9%	1.2%
CEMBRA MONEY BANK N	Financials	2.9%	1.1%

Source of data: UBP

**PORTFOLIO COMPOSITION - MONTHLY EVOLUTION**

	December	November	October	September	August
Weight of the top ten holdings	40.54 %	44.59 %	46.88 %	47.99 %	48.88 %
Number of holdings	52	56	55	54	54
Large caps (> USD 5 billion)	46.01 %	47.63 %	48.04 %	48.06 %	48.65 %
Mid caps (USD 1.5-5 billion)	34.03 %	33.12 %	32.60 %	32.45 %	32.16 %
Small caps (< USD 1.5 billion)	19.96 %	19.25 %	19.37 %	19.49 %	19.18 %

Source of data: UBP

**ADMINISTRATION**
**General distributor and Swiss representative**

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

**Fund management**

GÉRIFONDS SA, Lausanne

**Custodian bank**

Banque Cantonale Vaudoise, Lausanne

**Auditor**

KPMG SA, Genève

**Legal form**

Investment fund incorporated under Swiss law, belonging to the "Other funds for traditional investments" category

**REGISTRATION AND DOCUMENTATION**
**Countries where Distribution is Authorised**

Switzerland

Registered office

Gérifonds SA, Lausanne

**GLOSSARY**
**Benchmark**

Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

**Derivatives**

Derivatives are financial instruments whose prices depend on the price movements in a reference variable, known as the underlying. Underlying assets may be shares, equity indices, government bonds, currencies, interest rates, commodities like wheat and gold, or also swaps. Derivative financial instruments may be unconditional forward transactions or they may be options. They are traded either on futures and options exchanges on standardised terms, or over-the-counter (OTC) on freely negotiated terms. Changes in the price of the underlying lead in certain situations to considerably higher price fluctuations in the derivative. Derivatives can be used to hedge against financial risks, to speculate on price changes (trading) or to take advantage of price differences between markets (arbitrage).

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