

UBAM - US EQUITY GROWTH

Monthly Institutional Factsheet | 31.08.2021

For Professional Investors in Switzerland or Professional Investors as defined by the relevant laws, The classification of the fund(s) as per the Sustainable Finance Disclosure Regulation (SFDR) is available on ubp.com or in the latest prospectus.

PERFORMANCE & KEY METRICS

ISIN: LU1704635793 (IC - Share USD)

NAV: 205.39 USD

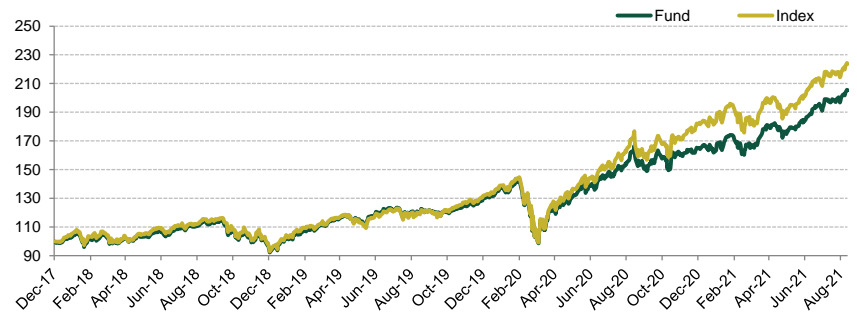
PERFORMANCE

	Fund ¹	Index ²
August 2021	4.04%	3.85%
YTD	22.95%	21.61%
1Y	26.47%	29.67%
3Y	80.29%	93.78%
5Y	-	-
Since inception*	105.39%	123.66%
2020	27.75%	38.82%
2019	35.70%	35.88%
2018	-2.41%	-1.89%

*Inception date : 19.12.2017

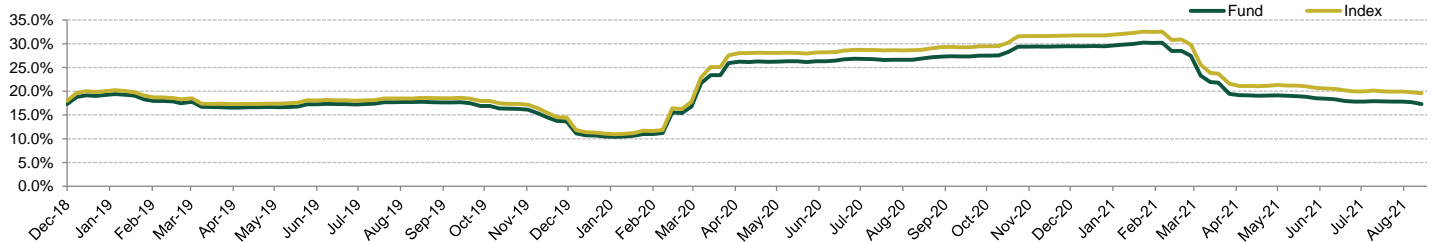
Past performance is not a guide to current or future results. See the disclaimer at the end of this document.

HISTORICAL TRACK RECORD *



* rebased at 100

1 YEAR-ROLLING VOLATILITY



KEY METRICS**

	Fund ¹	Index ²	Fund ¹	Index ²
Annualised Volatility %	20.00	21.54	0.98	1.05
Annualised Tracking Error %	3.30	-	-0.87	-
Beta	0.92	-	-1.06	-
Max Drawdown %	-28.57	-29.75	47.47	-
Drawdown begins at	14.02.2020	14.02.2020	0.53	-
Period to recover (number of weeks)	15	15	243.40	-
			AUM (USD Mn)	

**Statistics computed since inception except Active share, Dividend Yield and AUM which are based on the current portfolio holdings

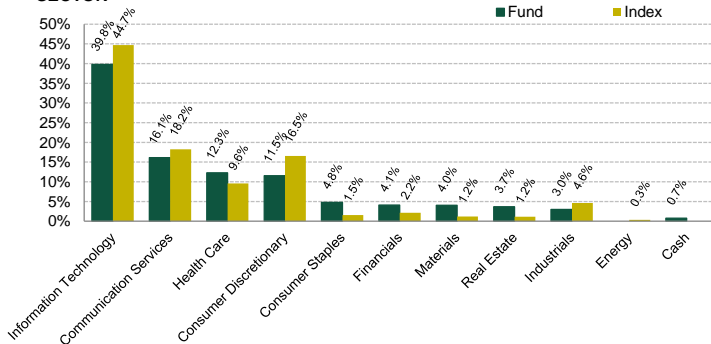
Source: UBP; data as at 31.08.2021

¹ Based on UBAM - US Equity Growth IC-Share net of fees, USD, ISIN: LU1704635793

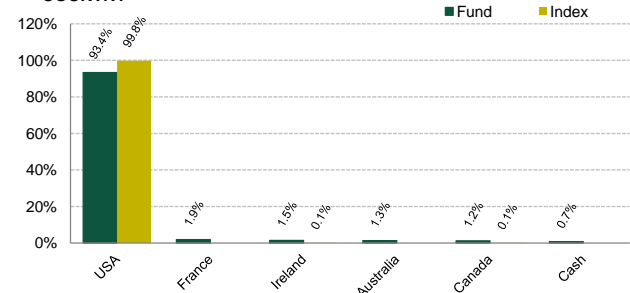
² The index is the MSCI USA Growth Net return, provided for information purposes only. The investment objective does not aim to replicate the index.

PORTFOLIO BREAKDOWNS

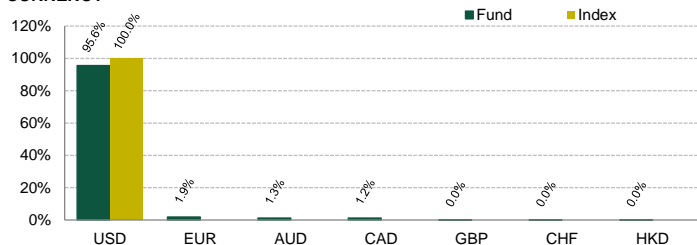
SECTOR



COUNTRY



CURRENCY



Source: UBP; data as at 31.08.2021

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TOP 10 HOLDINGS

Name	Portfolio Weight (end)	Index Weight (end)	Country	Sector
ALPHABET-A	9.35%	4.11%	USA	Communication Services
MICROSOFT CORP	9.24%	10.21%	USA	Information Technology
APPLE INC	8.97%	12.04%	USA	Information Technology
AMAZON.COM INC	7.78%	7.02%	USA	Consumer Discretionary
FACEBOOK-A	4.16%	4.31%	USA	Communication Services
NVIDIA CORP	3.37%	2.62%	USA	Information Technology
S&P GLOBAL	2.60%	0.50%	USA	Financials
VISA-A	2.57%	1.84%	USA	Information Technology
ADOBE SYSTEMS	2.43%	1.50%	USA	Information Technology
COSTCO WHOLESALE	2.15%	0.62%	USA	Consumer Staples
Total	52.6%	44.8%		

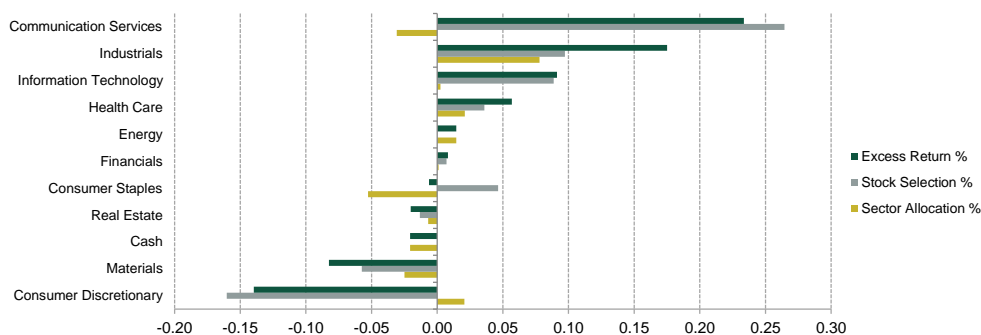
Source: UBP; data as at 31.08.2021

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PERFORMANCE ATTRIBUTION

SECTOR PERFORMANCE ATTRIBUTION

Period	30/07/2021 - 31/08/2021
Net Return %	4.04
Index Return %	3.85
Excess Return %	0.19
Allocation %	0.00
Selection %	0.31
Currency %	0.00
Residual %	-0.00
Others %	-0.12



Source: UBP; data as at 31.08.2021

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TOP CONTRIBUTORS / DETRACTORS

MAIN RELATIVE CONTRIBUTORS

Name	Portfolio Weight (avg)	Index Weight (avg)	Portfolio Return	Portfolio Contribution	Excess Return Contribution	Country	Sector
ALPHABET-A	9.19%	4.01%	7.40%	0.67%	0.18%	USA	Communication Services
ZOOM VID COMMU RG-A		0.35%			0.10%	USA	Information Technology
SERVICENOW	2.02%	0.57%	9.48%	0.19%	0.08%	USA	Information Technology
WEST PHARMACEUTICAL	1.52%	0.16%	9.69%	0.15%	0.08%	USA	Health Care
SALESFORCE.COM	1.93%	0.59%	9.65%	0.18%	0.07%	USA	Information Technology

MAIN RELATIVE DETRACTORS

Name	Portfolio Weight (avg)	Index Weight (avg)	Portfolio Return	Portfolio Contribution	Excess Return Contribution	Country	Sector
FIDELITY NATIONAL	1.02%		-14.28%	-0.18%	-0.21%	USA	Information Technology
ALPHABET-C		3.96%			-0.15%	USA	Communication Services
TESLA MTRS		2.61%			-0.08%	USA	Consumer Discretionary
HONEYWELL INTL.	1.83%		-0.53%	-0.01%	-0.08%	USA	Industrials
MASTERCARD-A	2.07%	1.56%	-10.29%	-0.24%	-0.08%	USA	Information Technology

Source: UBP; data as at 31.08.2021

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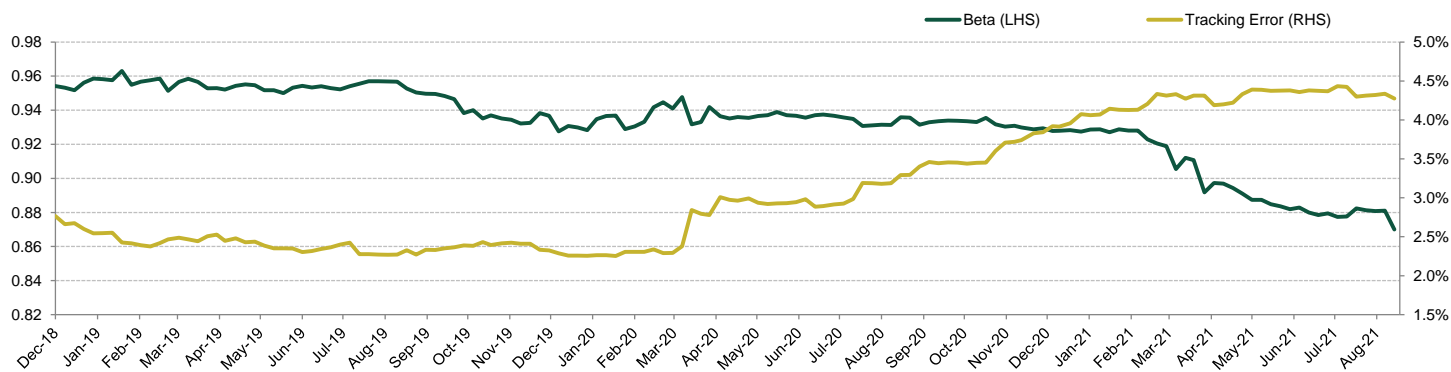
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HISTORICAL ANALYSIS

PORTFOLIO DATA

	Aug-21	Jul-21	Jun-21	May-21	Apr-21	Mar-21	Feb-21
Top 10 holdings weight	52.6%	52.7%	52.6%	51.2%	52.0%	51.3%	51.9%
Number of holdings	40	40	40	40	40	40	40
Tracking Error (1 year)	4.3%	4.3%	4.4%	4.4%	4.2%	4.3%	4.2%
Beta (1 year)	0.87	0.88	0.88	0.88	0.89	0.91	0.92
Large Caps (> USD 20 billion)	100.0%	98.9%	97.8%	97.4%	98.8%	96.9%	96.9%
Mid Caps (USD 7-20 billion)	0.0%	1.1%	2.2%	2.6%	1.2%	3.1%	3.1%
Small Caps (< USD 7 billion)	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

◆ BETA AND TRACKING ERROR - TRAILING 52 WEEKS



Source: UBP; data as at 31.08.2021

GENERAL INFORMATION

Name	UBAM - US Equity Growth	Applicable Management fee¹	AC USD: 1.50% IC USD: 1.00% UC USD: 1.00%	APC USD: 1.00% IPC USD: 0.63% UPC USD: 0.63%
Legal form	Sub-fund of UBAM, Luxembourg Incorporated SICAV, UCITS	Performance fee	10% above MSCI USA Growth (applicable only to P-shares)	
Base Currency	USD	Registered Countries²	AT, CH, DE, ES, FI, FR, IT, LU, NL, NO, SE, UK, SG,	
Currency Hedged Share Classes	CHF, EUR, GBP, SEK	ISIN	AC USD: LU1704633400 IC USD: LU1704635793 UC USD: LU1704637906	APC USD: LU1704634630 IPC USD: LU1704636767 UPC USD: LU1704638979
Cut-off time	13:00 (LU time)	Bloomberg Ticker	AC USD: UBUEAUC LX IC USD: UBUEIUC LX	
Inception date	19/12/2017	Investment Manager	B. Riley Wealth Management, Memphis	
Minimum Investment	None	Depository Bank	BNP Paribas Securities Services, Luxembourg Branch	
Liquidity	Daily	Administrator	CACEIS Bank, Luxembourg Branch	

¹ Main share classes mentioned. Other share classes are available. I: Institutional share class. U: RDR compliant share class. C: Capitalisation share class. P: Performance-fee share class.

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