



# UBAM - POSITIVE IMPACT EMERGING EQUITY

Class I USD (capitalisation share)

Factsheet | October 2020

For qualified investors only

## FUND CHARACTERISTICS

Legal form	UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)
Fund domicile	LUXEMBOURG
Currency	USD
NAV	139.20
Fund's AUM	USD 70.68 mio
Track record since	07 May 2020
Minimum investment	-
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee	1.00 %
Number of holdings	42
ISIN	LU2051760473
Telekurs	50048826
Bloomberg ticker	UBPEICU LX

**The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.**

Lower risk, Higher risk,  
potentially lower rewards potentially higher rewards



## SPECIFIC RISKS

- Emerging market risk: The Fund invests in overseas markets which carry a higher risk than investing in larger established markets. Investments in emerging markets are likely to experience greater rises and falls in value. Emerging markets are also more likely to experience political and/or legal uncertainty and investments held in these countries may not have the same protection as those held in more developed countries. The Fund may invest via the Shanghai Hong Kong Stock Connect (HKSC). It means that the Fund will be subject to rules and regulations issued/amended by HKSC particularly about quota limitation and trading suspension. When using the HKSC the Fund will be subject to operational risk, clearing settlement and custody risk.
- Full details of risks are disclosed in the chapter "Risk Factors" in the prospectus.

	Fund*	Index
<b>ESG Quality Score</b>	6.20	
<b>Environmental</b>	6.24	
<b>Social</b>	5.13	
<b>Governance</b>	4.38	
<b>Weighted Average Carbon Intensity**</b>	190.37	
<b>IMAP</b>	14.40	

Source: ©2019 MSC/ ESG Research LLC - Reproduced by permission. The MSC/ methodology, used to calculate the ESG quality score, was changed in April 2019.  
 \*Based on bond holdings only (excluding cash)  
 \*\* (tons CO2e/\$M Sales)

## ABOUT THE FUND

The Fund seeks to grow your capital and generate income primarily by investing in equities issued by companies having their registered office in Emerging Countries .

It is an actively managed, relatively concentrated portfolio of stocks (typically containing less than 50 names).

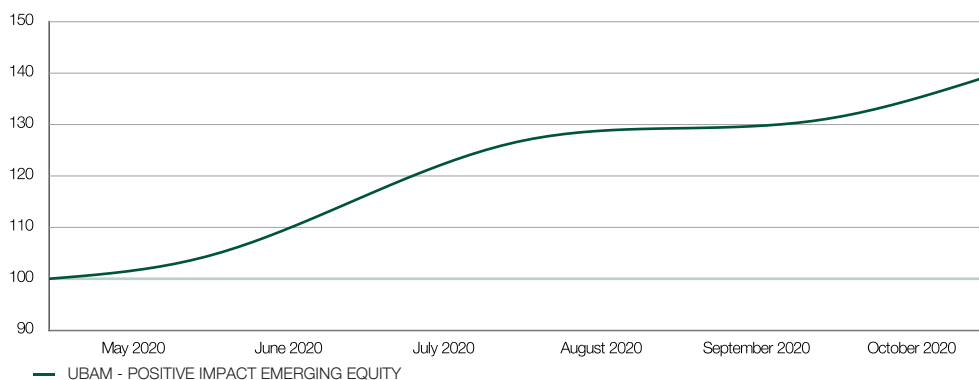
The Fund addresses the fastest growing part of the "sustainable" investment universe - i.e. socially responsible investing. The investment process draws from the collaboration of the investment manager (Union Bancaire Privée, UBP SA) with Cambridge Institute for Sustainability Leadership ("CISL").\*

\*CISL is not involved in the stock selection of this Fund.

The Fund may invest up to:

- 100% of its net assets in Small and Mid-Capitalization
- 25% of its net assets in China A share through the Shanghai Hong Kong Stock Connect.

## PERFORMANCE EVOLUTION USD (NET OF FEES)



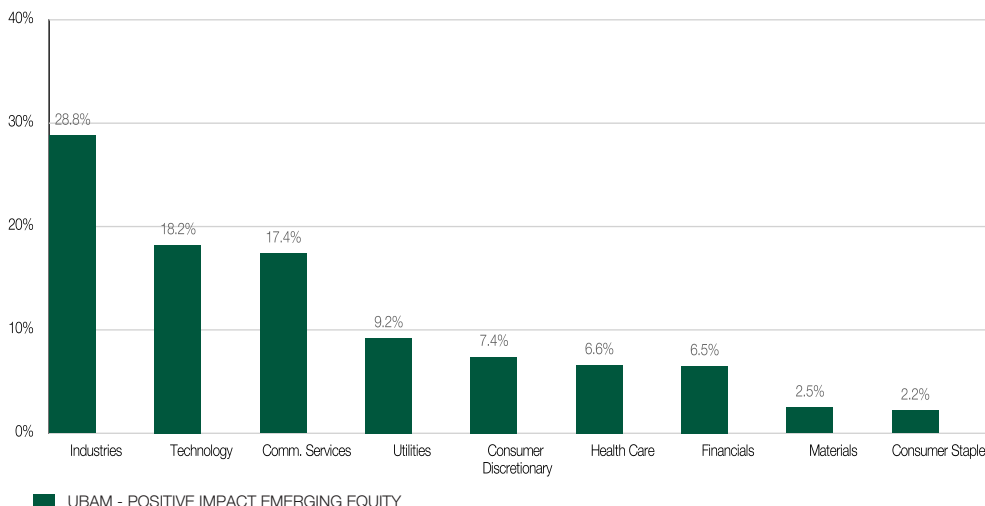
Performance over 5 years or since inception. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise.

## PERFORMANCE HISTORY USD (NET OF FEES)

	YTD					
UBAM - POSITIVE IMPACT EMERGING EQUITY	October 2020	3 months	1 year	3 years	5 years	Since inception
UBAM - POSITIVE IMPACT EMERGING EQUITY	39.20 %	6.16 %	9.93 %			39.20 %

Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

## SECTOR COMPARISON



Source of data: UBP

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## COUNTRY BREAKDOWN



Source of data: UBP

## MAIN HOLDINGS

Asset	Sector	Fund weight
SUNGROW POWER SUPPLY CO LTD	Industrials	5.9%
XINYI SOLAR HOLDINGS LTD	Information Technology	4.7%
XI AN LONGI SILICON MATERIALS CORP	Information Technology	4.6%
VOLTRONIC POWER TECHNOLOGY CORP	Industrials	4.0%
WUXI LEAD INTELLIGENT EQUIPMENT-A-	Information Technology	3.7%
TPI COMPOSITES	Industrials	3.4%
DELTA ELECTRONIC INCS	Information Technology	3.4%
NARI TECHNOLOGY -A-	Industrials	3.4%
SAMSUNG SDI CO LTD	Information Technology	3.3%
ADVANTECH CO LTD	Information Technology	3.3%

Source of data: UBP

## PORTFOLIO COMPOSITION - MONTHLY EVOLUTION

	October	September	August	July	June
Weight of the top ten holdings	39.64 %	43.37 %	43.39 %	40.84 %	39.38 %
Number of holdings	42	41	41	41	40
Large caps (> USD 5 billion)	70.09 %	55.44 %	50.28 %	79.29 %	85.06 %
Mid caps (USD 1.5-5 billion)	16.07 %	22.02 %	28.92 %	4.40 %	3.43 %
Small caps (< USD 1.5 billion)	13.84 %	22.53 %	20.80 %	16.31 %	11.51 %

Source of data: UBP

## ADMINISTRATION

### Management Company

UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg

### General distributor, Swiss representative and Swiss paying agent

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

### Administrative agent, registrar and transfer agent

CACEIS Bank Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg

### Custodian bank

BNP Paribas Securities Services Luxembourg Branch 60 avenue J.F. Kennedy, L-1855 Luxembourg

### Auditor

Deloitte Audit S.à.r.l, 20 Boulevard de Kockelscheuer, L-1821 Luxembourg

### Legal form

UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)

## REGISTRATION AND DOCUMENTATION

### Countries where Distribution is Authorised

Depending on the country, certain share classes may or may not be registered for public distribution. The registered share classes are recorded in a Key Investor Information Document (KIID). Investors are invited to inform themselves about the registered share classes or to request copies of the relevant KIIDs from the fund's headquarters, the general distributor (Union Bancaire Privée, UBP SA, Geneva), or from the local representative for their country.

Registered Office	UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg
Representatives	
Austria	Erste Bank der Österreichischen Sparkassen AG, 21 Graben, A-1010 Wien
Belgium	Caceis Belgium Fund Administration Service & Technology Network Belgium, Avenue du Port 86 C, b320, B-1000 Bruxelles
France	Caceis Bank, 1-3, Place Valhubert, F-75206 Paris Cedex 13
Germany	Sal. Oppenheim Jr. & Cie. KGaA, 4 Unter Sachsenhausen, D-50667 Köln
Italy	State Street Bank S.p.A., con sede legale in Via Ferrante Aporti, 10, 20125 Milano Allfunds Bank S.A., via Santa Margherita 7, I-20121 Milano BNP Paribas Securities Services, Succursale di Milano, con sede in Milano, Piazza Lina Bo Bardi, 3
Portugal	BEST - Banco Electronico de Serviço Total S.A., Praça Marquês de Pompal, no. 3 - 3º 1250 - 161 Lisboa
Singapore	Union Bancaire Privée, UBP SA, Singapore Branch, Level 24, One Raffles Quay, South Tower, Singapore 048583
Spain	Allfunds Banks S.a., 57 Nuria, E-28034 Madrid UBP Gestion Institucional S.A., Avenida Diagonal 520 2º, 2a-B, E-08006 Barcelona
Sweden	Skandinaviska Enskilda Banken AB (PUBL) ("SEB"), 106 40 Stockholm
Switzerland	Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Genève 1
United Kingdom	Union Bancaire Privée, 26 St James's Square, London SW1Y 4JH

### OTHER COUNTRIES

## GLOSSARY

### Benchmark

Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

### Derivatives

Derivatives are financial instruments whose prices depend on the price movements in a reference variable, known as the underlying. Underlying assets may be shares, equity indices, government bonds, currencies, interest rates, commodities like wheat and gold, or also swaps. Derivative financial instruments may be unconditional forward transactions or they may be options. They are traded either on futures and options exchanges on standardised terms, or over-the-counter (OTC) on freely negotiated terms. Changes in the price of the underlying lead in certain situations to considerably higher price fluctuations in the derivative. Derivatives can be used to hedge against financial risks, to speculate on price changes (trading) or to take advantage of price differences between markets (arbitrage).

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## UBAM - POSITIVE IMPACT EMERGING EQUITY

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