



# UBAM (CH) - SWISS SMALL AND MID CAP EQUITY

## Class I CHF (distribution share)

Factsheet | April 2021

For qualified investors only

### FUND CHARACTERISTICS

Fund domicile	SWITZERLAND
Currency	CHF
NAV	293.62
Fund's AUM	CHF 29.20 mio
Track record since	08 October 2010
Minimum investment	1 share
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee All-in	0.90 %
Number of holdings	47
Last dividend/Date	19.08.2020
ISIN	CH0117983848
Telekurs	11798384
Bloomberg ticker	USEXECI SW
Index	SWISS SPI EXTRA - SYNTHETIC - RI - CHF

**The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.**

On 16 October 2015, UBAM (CH) - Swiss Excellence Equity became UBAM (CH) - Swiss Small and Mid Cap Equity, refocusing its investment strategy on small and mid-cap companies.

Lower risk, ← → Higher risk,  
potentially lower rewards      potentially higher rewards



### SPECIFIC RISKS

- ◆ Liquidity risk: A market's possible inability to absorb given trading volumes, thus affecting the purchase and sales of positions held by the sub-fund.
- ◆ Counterparty risk: When a third party (borrower or structure) is not able to meet its obligations to the sub-fund, which may adversely affect the value.
- ◆ Operational risk: Arises when inadequate or defective internal processes negatively impact the value of the sub-fund.

Portfolio mainly invested in equities issued by companies quoted in Switzerland

### ABOUT THE FUND

The fund offers access to global growth opportunities through high-quality Swiss small- and mid-cap stocks. UBAM (CH) - Swiss Small and Mid Cap Equity is actively managed and integrates ESG considerations along its investment process. The Fund benefits from the Swiss legal fund structure. The concentrated portfolio is a reflection of the investment team's high-conviction ideas in the Swiss non-large cap space.

### PERFORMANCE EVOLUTION CHF (NET OF FEES)



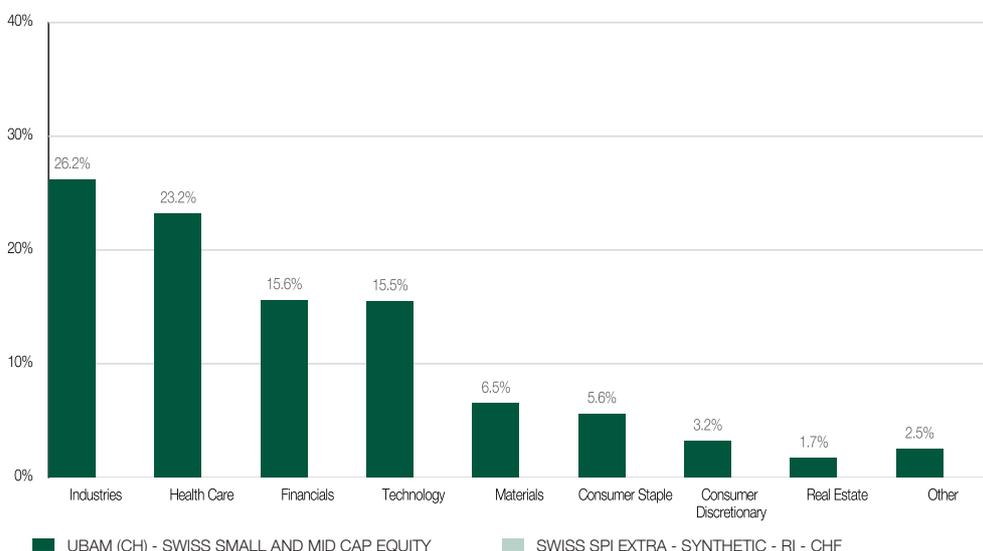
Performance over 5 years or since inception. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise.

### PERFORMANCE HISTORY CHF (NET OF FEES)

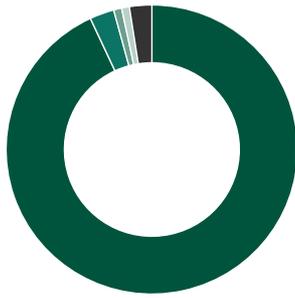
	YTD	2020	2019	2018	2017	2016
UBAM (CH) - SWISS SMALL AND MID CAP EQUITY	10.86 %	8.24 %	33.20 %	-23.14 %	37.65 %	6.71 %
SWISS SPI EXTRA - SYNTHETIC - RI - CHF	9.78 %	8.07 %	30.42 %	-17.23 %	29.73 %	8.50 %
	April 2021	3 months	1 year	3 years	5 years	Since inception
UBAM (CH) - SWISS SMALL AND MID CAP EQUITY	1.90 %	9.10 %	39.10 %	24.52 %	85.02 %	208.14 %
SWISS SPI EXTRA - SYNTHETIC - RI - CHF	0.82 %	9.51 %	37.15 %	27.04 %	79.09 %	211.92 %

Since launch. Source of data: UBP. Exchange rate fluctuations can have a positive or a negative impact on performance. Past performance is not a reliable indicator of future results. The value of investments can fall as well as rise. Past performance figures are stated in the currency of the share class and calculated with dividends reinvested; they are free of ongoing charges. The calculation does not take into account sales commissions and other fees, taxes and applicable costs to be paid by the investor. For example, with an investment of EUR 100, the actual investment would amount to EUR 99 in the case of an entrance fee of 1%. At investor level, additional costs may also be incurred (e. g. front-end load or custody fee charged by the financial intermediary).

### SECTOR COMPARISON



Source of data: UBP

**COUNTRY BREAKDOWN**


Source of data: UBP

**MAIN HOLDINGS**

Asset	Sector	Fund weight	Index weight
ACT Logitech Intl N	Information Technology	6.4%	
ACT Kuehne+Nagel In	Industrials	5.5%	
ACT Straumann Hldg	Health Care	5.1%	
ACT Sonova Hldg N	Health Care	4.2%	
ACT Part Grp Hldg N	Financials	4.0%	
ACT VAT Group N	Industrials	3.1%	
ACT Tecan Grp N	Health Care	2.9%	
ACT Baloise Hldg N	Financials	2.8%	
ACT Georg Fischer N	Industrials	2.7%	
ACT SIG Combi Grp N	Materials	2.6%	

Source of data: UBP

**PORTFOLIO COMPOSITION - MONTHLY EVOLUTION**

	April	March	February	January	December
Weight of the top ten holdings	39.17 %	39.77 %	40.38 %	39.24 %	39.38 %
Number of holdings	47	47	48	48	47
Large caps (> USD 5 billion)	48.34 %	49.83 %	50.52 %	52.07 %	51.31 %
Mid caps (USD 1.5-5 billion)	38.96 %	36.77 %	36.13 %	34.71 %	35.74 %
Small caps (< USD 1.5 billion)	12.69 %	13.40 %	13.36 %	13.22 %	12.95 %

Source of data: UBP

**ADMINISTRATION**
**General distributor**

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

**Fund Management Company**

GÉRIFONDS SA, 2 rue du Maupas, 1002 Lausanne

**Custodian bank**

Banque Cantonale Vaudoise, 14 place Saint Francois, 1003 Lausanne

**Auditor**

KPMG SA, Genève

**Legal form**

Investment fund incorporated under Swiss law, belonging to the "Other funds for traditional investments" category

**REGISTRATION AND DOCUMENTATION**
**Countries where Distribution is Authorised**

Switzerland

Registered office

GÉRIFONDS SA, Lausanne

**GLOSSARY**
**Benchmark**

Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

**Derivatives**

Derivatives are financial instruments whose prices depend on the price movements in a reference variable, known as the underlying. Underlying assets may be shares, equity indices, government bonds, currencies, interest rates, commodities like wheat and gold, or

also swaps. Derivative financial instruments may be unconditional forward transactions or they may be options. They are traded either on futures and options exchanges on standardised terms, or over-the-counter (OTC) on freely negotiated terms. Changes in the price of the underlying lead in certain situations to considerably higher price fluctuations in the derivative. Derivatives can be used to hedge against financial risks, to speculate on price changes (trading) or to take advantage of price differences between markets (arbitrage).

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