



UBAM - SMART DATA US EQUITY

Class A USD (capitalisation share)

Factsheet | October 2020

FUND CHARACTERISTICS

Legal form	UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)
Fund domicile	LUXEMBOURG
Currency	USD
NAV	99.75
Fund's AUM	USD 16.32 mio
Track record since	18 February 2020
Minimum investment	-
Subscription	Daily
Redemption	Daily
Management fee	1.50 %
Number of holdings	50
ISIN	LU2001977557
Telekurs	48137334
Bloomberg ticker	USDUEAU LX

The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.



SPECIFIC RISKS

- ◆ **Counterparty risk:** The use of over-the-counter derivatives exposes the Fund to a risk that the counterparty to the transactions will fully or partially fail to honor its contractual obligations. This may result in a financial loss for the Fund.
- ◆ **Currency risk:** The Fund invests in overseas markets. It can be affected by changes in exchange rates which may cause the value of your investment to decrease or increase.
- ◆ **Liquidity risk:** Liquidity risk exists when particular investments are difficult to purchase or sell. This can reduce the Fund's returns because the Fund may be unable to trade at advantageous times or prices.
- ◆ Full details of risks are disclosed in the chapter "Risk Factors" in the prospectus.

ABOUT THE FUND

The Fund seeks to grow capital and generate income primarily by investing in equities of US companies. The Fund aims to actively pick stocks that display the best medium-term sentiment signals within the US large cap universe. Sentiment will be gauged on a real-time basis thanks to the smart data concept which combines two innovative techniques: - the first is the use of data sources linked to real-time data coming from online sources (Social media, news, blogs) or other alternative data sources and are different from fundamental-based or prices-based data; - the second is the use of big data techniques to transform the data into a sentiment signal, i.e. a signal to invest into a single stock. The Fund is actively managed and has no restriction about sectors. The Fund is not managed in reference to a benchmark.

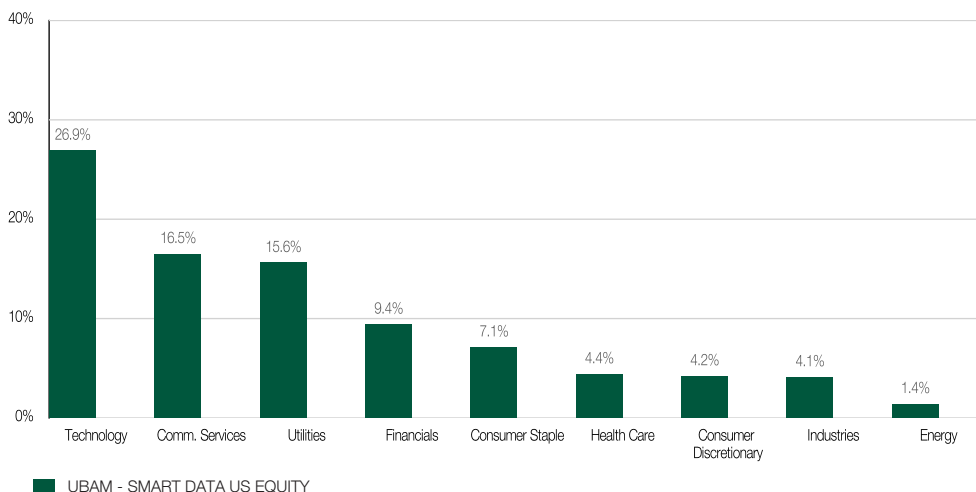
PERFORMANCE EVOLUTION USD (NET OF FEES)

In accordance with the regulations in force, no information on performances for units/shares launched within the previous 12 months is provided.

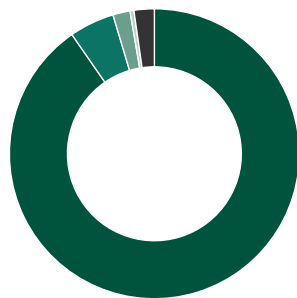
PERFORMANCE HISTORY USD (NET OF FEES)

In accordance with the regulations in force, no information on performances for units/shares launched within the previous 12 months is provided.

SECTOR COMPARISON



Source of data: UBP

COUNTRY BREAKDOWN


Source of data: UBP

MAIN HOLDINGS

Asset	Sector	Fund weight
APPLE INC	Information Technology	5.9%
AT&T INC	Telecommunication Services	5.6%
JPMORGAN CHASE CO	Financials	5.2%
GARMIN	Consumer Discretionary	5.0%
WALMART INC	Consumer Staples	4.9%
EVERSOURCE ENERGY	Utilities	4.2%
ADVANCED MICRO DEVICES INC	Information Technology	4.2%
AKAMAI TECHNOLOGIES	Information Technology	3.7%
INTEL CORP	Information Technology	3.5%
NETFLIX INC	Telecommunication Services	2.7%

Source of data: UBP

PORTFOLIO COMPOSITION - MONTHLY EVOLUTION

	October	September	August	July	June
Weight of the top ten holdings	45.04 %	48.39 %	49.56 %	41.00 %	43.49 %
Number of holdings	50	41	37	43	41
Large caps (> USD 5 billion)	100.00 %	100.00 %	100.00 %	97.85 %	100.00 %
Mid caps (USD 1.5-5 billion)	0.00 %	0.00 %	0.00 %	0.00 %	0.00 %
Small caps (< USD 1.5 billion)	0.00 %	0.00 %	0.00 %	2.15 %	0.00 %

Source of data: UBP

ADMINISTRATION
Management Company

UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg

General distributor, Swiss representative and Swiss paying agent

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

Administrative agent, registrar and transfer agent

CACEIS Bank Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg

Custodian bank

BNP Paribas Securities Services Luxembourg Branch 60 avenue J.F. Kennedy, L-1855 Luxembourg

Auditor

Deloitte Audit S.à.r.l, 20 Boulevard de Kockelscheuer, L-1821 Luxembourg

Legal form

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REGISTRATION AND DOCUMENTATION
Countries where Distribution is Authorised

Depending on the country, certain share classes may or may not be registered for public distribution. The registered share classes are recorded in a Key Investor Information Document (KIID). Investors are invited to inform themselves about the registered share classes or to request copies of the relevant KIIDs from the fund's headquarters, the general distributor (Union Bancaire Privée, UBP SA, Geneva), or from the local representative for their country.

Registered Office	UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg
Representatives	
Austria	Erste Bank der Österreichischen Sparkassen AG, 21 Graben, A-1010 Wien
Belgium	Caceis Belgium Fund Administration Service & Technology Network Belgium, Avenue du Port 86 C, b320, B-1000 Bruxelles
France	Caceis Bank, 1-3, Place Valhubert, F-75206 Paris Cedex 13
Germany	Sal. Oppenheim Jr. & Cie. KGaA, 4 Unter Sachsenhausen, D-50667 Köln
Italy	State Street Bank S.p.A., con sede legale in Via Ferrante Aporti, 10, 20125 Milano Alfunds Bank S.A., via Santa Margherita 7, I-20121 Milano BNP Paribas Securities Services, Succursale di Milano, con sede in Milano, Piazza Lina Bo Bardi, 3
Portugal	BEST - Banco Electronico de Serviço Total S.A., Praça Marquês de Pompal, no. 3 - 3º 1250 - 161 Lisboa
Singapore	Union Bancaire Privée, UBP SA, Singapore Branch, Level 24, One Raffles Quay, South Tower, Singapore 048583
Spain	Alfunds Banks S.a., 57 Nuria, E-28034 Madrid UBP Gestion Institutional S.A., Avenida Diagonal 520 2º, 2a-B, E-08006 Barcelona
Sweden	Skandinaviska Enskilda Banken AB (PUBL) ("SEB"), 106 40 Stockholm
Switzerland	Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Genève 1
United Kingdom	Union Bancaire Privée, 26 St James's Square, London SW1Y 4JH
OTHER COUNTRIES	Countries where distribution is authorised : Netherlands

GLOSSARY
Benchmark

Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

Derivatives

Derivatives are financial instruments whose prices depend on the price movements in a reference variable, known as the underlying. Underlying assets may be shares, equity indices, government bonds, currencies, interest rates, commodities like wheat and gold, or also swaps. Derivative financial instruments may be unconditional forward transactions or they may be options. They are traded either on futures and options exchanges on standardised terms, or over-the-counter (OTC) on freely negotiated terms. Changes in the price of the underlying lead in certain situations to considerably higher price fluctuations in the derivative. Derivatives can be used to hedge against financial risks, to speculate on price changes (trading) or to take advantage of price differences between markets (arbitrage).

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