



# UBAM - POSITIVE IMPACT EMERGING EQUITY

## Class K GBP (capitalisation share)

### Factsheet | October 2020

#### FUND CHARACTERISTICS

Legal form	UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)
Fund domicile	LUXEMBOURG
Currency	GBP
NAV	107.88
Fund's AUM	USD 70.68 mio
Track record since	24 August 2020
Minimum investment	-
Subscription	Daily
Redemption	Daily
Price publication	www.ubp.com
Management fee	0.55 %
Number of holdings	42
ISIN	LU2051765191
Telekurs	50098291
Bloomberg ticker	UBPIEKA LX

**The benchmark is for information purposes only. The investment objective does not aim at replicating the benchmark.**



#### SPECIFIC RISKS

- Emerging market risk: The Fund invests in overseas markets which carry a higher risk than investing in larger established markets. Investments in emerging markets are likely to experience greater rises and falls in value. Emerging markets are also more likely to experience political and/or legal uncertainty and investments held in these countries may not have the same protection as those held in more developed countries. The Fund may invest via the Shanghai Hong Kong Stock Connect (HKSC). It means that the Fund will be subject to rules and regulations issued/amended by HKSC particularly about quota limitation and trading suspension. When using the HKSC the Fund will be subject to operational risk, clearing settlement and custody risk.
- Full details of risks are disclosed in the chapter "Risk Factors" in the prospectus.

	Fund*	Index
<b>ESG Quality Score</b>	6.20	
<b>Environmental</b>	6.24	
<b>Social</b>	5.13	
<b>Governance</b>	4.38	
<b>Weighted Average Carbon Intensity**</b>	190.37	
<b>IMAP</b>	14.40	

Source: ©2019 MSC/ ESG Research LLC - Reproduced by permission. The MSC/ methodology, used to calculate the ESG quality score, was changed in April 2019.  
\*Based on bond holdings only (excluding cash)  
\*\*(tons CO2e/\$M Sales)

#### ABOUT THE FUND

The Fund seeks to grow your capital and generate income primarily by investing in equities issued by companies having their registered office in Emerging Countries .

It is an actively managed, relatively concentrated portfolio of stocks (typically containing less than 50 names).

The Fund addresses the fastest growing part of the "sustainable" investment universe - i.e. socially responsible investing. The investment process draws from the collaboration of the investment manager (Union Bancaire Privée, UBP SA) with Cambridge Institute for Sustainability Leadership ("CISL").\*

\*CISL is not involved in the stock selection of this Fund.

The Fund may invest up to:

- 100% of its net assets in Small and Mid-Capitalization
- 25% of its net assets in China A share through the Shanghai Hong Kong Stock Connect.

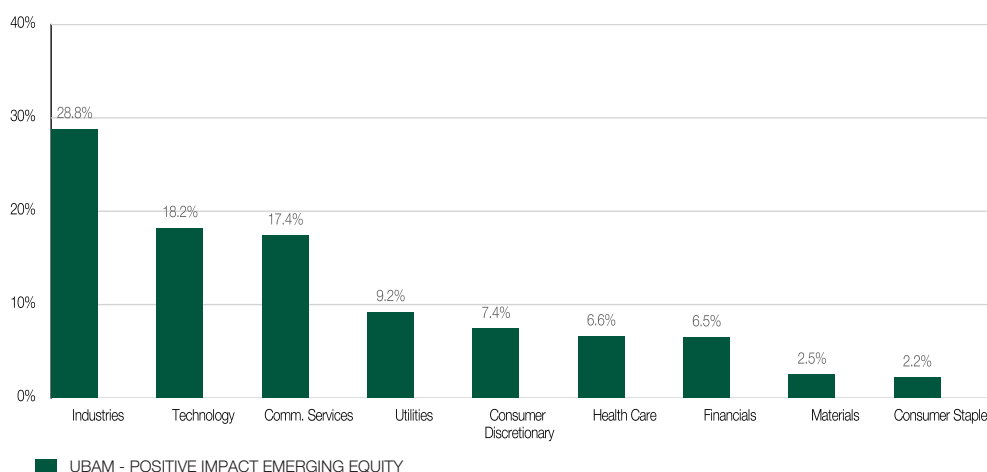
#### PERFORMANCE EVOLUTION GBP (NET OF FEES)

In accordance with the regulations in force, no information on performances for units/shares launched within the previous 12 months is provided.

#### PERFORMANCE HISTORY GBP (NET OF FEES)

In accordance with the regulations in force, no information on performances for units/shares launched within the previous 12 months is provided.

#### SECTOR COMPARISON



Source of data: UBP

# UBAM - POSITIVE IMPACT EMERGING EQUITY

## COUNTRY BREAKDOWN



Source of data: UBP

## MAIN HOLDINGS

Asset	Sector	Fund weight
SUNGROW POWER SUPPLY CO LTD	Industrials	5.9%
XINYI SOLAR HOLDINGS LTD	Information Technology	4.7%
XI AN LONGI SILICON MATERIALS CORP	Information Technology	4.6%
VOLTRONIC POWER TECHNOLOGY CORP	Industrials	4.0%
WUXI LEAD INTELLIGENT EQUIPMENT-A-	Information Technology	3.7%
TPI COMPOSITES	Industrials	3.4%
DELTA ELECTRONIC INCS	Information Technology	3.4%
NARI TECHNOLOGY -A-	Industrials	3.4%
SAMSUNG SDI CO LTD	Information Technology	3.3%
ADVANTECH CO LTD	Information Technology	3.3%

Source of data: UBP

## PORTFOLIO COMPOSITION - MONTHLY EVOLUTION

	October	September
Weight of the top ten holdings	39.64 %	43.37 %
Number of holdings	42	41
Large caps (> USD 5 billion)	70.09 %	55.44 %
Mid caps (USD 1.5-5 billion)	16.07 %	22.02 %
Small caps (< USD 1.5 billion)	13.84 %	22.53 %

Source of data: UBP

## ADMINISTRATION

### Management Company

UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg

### General distributor, Swiss representative and Swiss paying agent

Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Geneva 1

### Administrative agent, registrar and transfer agent

CACEIS Bank Luxembourg Branch, 5, allée Scheffer, L-2520 Luxembourg

### Custodian bank

BNP Paribas Securities Services Luxembourg Branch 60 avenue J.F. Kennedy, L-1855 Luxembourg

### Auditor

Deloitte Audit S.à.r.l, 20 Boulevard de Kockelscheuer, L-1821 Luxembourg

### Legal form

UBAM is a Luxembourg SICAV (UCITS, Part I of the Luxembourg law of 17/12/2010)

## REGISTRATION AND DOCUMENTATION

### Countries where Distribution is Authorised

Depending on the country, certain share classes may or may not be registered for public distribution. The registered share classes are recorded in a Key Investor Information Document (KIID). Investors are invited to inform themselves about the registered share classes or to request copies of the relevant KIIDs from the fund's headquarters, the general distributor (Union Bancaire Privée, UBP SA, Geneva), or from the local representative for their country.

Registered Office	UBP Asset Management (Europe) S.A., 287-289, route d'Arlon, L-1150 Luxembourg
Representatives	
Austria	Erste Bank der Österreichischen Sparkassen AG, 21 Graben, A-1010 Wien
Belgium	Caceis Belgium Fund Administration Service & Technology Network Belgium, Avenue du Port 86 C, b320, B-1000 Bruxelles
France	Caceis Bank, 1-3, Place Valhubert, F-75206 Paris Cedex 13
Germany	Sal. Oppenheim Jr. & Cie. KGaA, 4 Unter Sachsenhausen, D-50667 Köln
Italy	State Street Bank S.p.A., con sede legale in Via Ferrante Aporti, 10, 20125 Milano Allfunds Bank S.A., via Santa Margherita 7, I-20121 Milano BNP Paribas Securities Services, Succursale di Milano, con sede in Milano, Piazza Lina Bo Bardi, 3
Portugal	BEST - Banco Electronico de Serviço Total S.A., Praça Marquês de Pompal, no. 3 - 3º 1250 - 161 Lisboa
Singapore	Union Bancaire Privée, UBP SA, Singapore Branch, Level 24, One Raffles Quay, South Tower, Singapore 048583
Spain	Allfunds Banks S.a., 57 Nuria, E-28034 Madrid UBP Gestion Institucional S.A., Avenida Diagonal 520 2º, 2a-B, E-08006 Barcelona
Sweden	Skandinaviska Enskilda Banken AB (PUBL) ("SEB"), 106 40 Stockholm
Switzerland	Union Bancaire Privée, UBP SA, 96-98 rue du Rhône, CH-1211 Genève 1
United Kingdom	Union Bancaire Privée, 26 St James's Square, London SW1Y 4JH

### OTHER COUNTRIES

## GLOSSARY

### Benchmark

Index used as basis for measuring the performance of an investment fund. Also called reference index or comparison index.

### Derivatives

Derivatives are financial instruments whose prices depend on the price movements in a reference variable, known as the underlying. Underlying assets may be shares, equity indices, government bonds, currencies, interest rates, commodities like wheat and gold, or also swaps. Derivative financial instruments may be unconditional forward transactions or they may be options. They are traded either on futures and options exchanges on standardised terms, or over-the-counter (OTC) on freely negotiated terms. Changes in the price of the underlying lead in certain situations to considerably higher price fluctuations in the derivative. Derivatives can be used to hedge against financial risks, to speculate on price changes (trading) or to take advantage of price differences between markets (arbitrage).

**THIS IS A MARKETING DOCUMENT.** It reflects the opinion of Union Bancaire Privée, UBP SA or any entity of the UBP Group (hereinafter "UBP") as of the date of issue. It is not intended for distribution, publication, or use in any jurisdiction where such distribution, publication, or use would be unlawful, nor is it directed at any person or entity at which it would be unlawful to direct such a document.

This document has been made on a best-effort basis, is furnished for information purposes only and does not constitute an offer or a recommendation to purchase or sell any security, unless otherwise provided for in the present disclaimer. The opinions herein do not take into account individual investors' circumstances, objectives, or needs. Each investor must make his own independent decisions regarding any securities or financial instruments mentioned herein. Before entering into any transaction, each investor is urged to consider the suitability of the transaction in relation to his particular circumstances and to independently review, with professional advisors as necessary, the specific risks incurred, in particular at financial, regulatory and tax levels.

## UBAM - POSITIVE IMPACT EMERGING EQUITY

The information and analysis contained herein has been based on sources believed to be reliable. However, UBP does not guarantee its timeliness, accuracy, or completeness, nor does it accept any liability for any loss or damage resulting from its use. All information and opinions are subject to change without notice. **PAST PERFORMANCE IS NO GUARANTEE OF CURRENT OR FUTURE RETURNS.** This document has not been produced by UBP's financial analysts and is not to be considered as financial research. The investments mentioned herein may be subject to risks that are difficult to quantify and to integrate into the valuation of investments.

The present document constitutes neither an offer nor a solicitation to subscribe for shares in the fund(s) or sub-fund(s) mentioned, in any jurisdiction where such an offer or solicitation would not be authorised, or to any person to whom it would be unlawful to make such an offer or solicitation. It does not replace the prospectus or any other legal documents that can be obtained free of charge from the registered office of the funds.

Any subscriptions not based on the fund's latest prospectus, KIID or annual or semi-annual reports shall not be acceptable. This document is confidential and intended only for the use of the person to whom it was delivered. This document may not be reproduced (in whole or in part) or delivered to any other person without the prior written approval of UBP.

The Swiss representative and paying agent is Union Bancaire Privée, UBP SA, 96-98, rue du Rhône, P.O. Box 1320, 1211 Geneva 1, Switzerland (UBP). The prospectus, articles of association, KIID and annual and semi-annual reports may be obtained free of charge from UBP as well as from UBP Asset Management (Europe) SA, 287-289 route d'Arlon, 1150 Luxembourg, Grand Duchy of Luxembourg.

In Switzerland, UBP is authorised and regulated by the Swiss Financial Market Supervisory Authority (FINMA); in the United Kingdom, it is authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority (FCA).