



UNION BANCAIRE PRIVÉE

LIST OF APPROVED BROKERS*

30-11-23

Please find below the list of approved executing brokers for funds, portfolios or mandates managed by Union Bancaire Gestion Institutionnelle.

Name of the Broker	Type of products	Name of the Broker	Type of products
BANK OF AMERICA MERRILL LYNCH	Securities	BANK OF AMERICA MERRILL LYNCH	Derivatives
BANK OF MONTREAL IRELAND	Securities	CACEIS	Derivatives
BARCLAYS	Securities	CITI GROUP	Derivatives
BERENBERG	Securities	GOLDMAN SACHS	Derivatives
BNP PARIBAS	Securities	JP MORGAN	Derivatives
BRED	Securities	MORGAN STANLEY	Derivatives
CITI GROUP	Securities	SOCIÉTÉ GÉNÉRALE	Derivatives
CREDIT AGRICOLE - CIB	Securities	UBS	Derivatives
CREDIT SUISSE	Securities		
DEUTSCHE BANK	Securities		
DAIWA	Securities		
GOLDMAN SACHS	Securities	BARCLAYS	OTC
HSBC	Securities	BNP PARIBAS	OTC
JEFFERIES	Securities	CACEIS	OTC
JP MORGAN	Securities	CREDIT AGRICOLE - CIB	OTC
KEPLER CHEUVREUX	Securities	JP MORGAN	OTC
MIZUHO	Securities	MORGAN STANLEY	OTC
MORGAN STANLEY	Securities	SOCIÉTÉ GÉNÉRALE	OTC
NATIXIS SA	Securities	STATE STREET BANK	OTC
NOMURA	Securities	UNION BANCAIRE PRIVÉE, SA	OTC
OCTO FINANCES SA	Securities	RBC	OTC
ODDO & Cie	Securities		
OPPENHEIMER & Cie	Securities		
SMBC NIKKO Capital Markets Limited	Securities		
SOCIÉTÉ GÉNÉRALE	Securities		
UBS	Securities		
UNICREDIT BANK AG	Securities		

*for mandates, not all of these brokers are approved by the client