



UNION BANCAIRE PRIVÉE

## LIST OF APPROVED BROKERS\*

27/05/2021

Please find below the list of approved executing brokers for funds, portfolios or mandates managed by Union Bancaire Gestion Institutionnelle.

Name of the Broker	Type of products	Name of the Broker	Type of products
BANK OF AMERICA MERRILL LYNCH	Securities	BANK OF AMERICA MERRILL LYNCH	Derivatives
BARCLAYS	Securities	CACEIS	Derivatives
BERENBERG	Securities	CITI GROUP	Derivatives
BNP PARIBAS	Securities	EXANE	Derivatives
BRED	Securities	GOLDMAN SACHS	Derivatives
CITI GROUP	Securities	JP MORGAN	Derivatives
CREDIT AGRICOLE - CIB	Securities	MORGAN STANLEY	Derivatives
CREDIT SUISSE	Securities	SOCIÉTÉ GÉNÉRALE	Derivatives
DAIWA	Securities	UBS	Derivatives
GOLDMAN SACHS	Securities		
HSBC	Securities		
JEFFERIES	Securities		
JP MORGAN	Securities	BARCLAYS	OTC
KEPLER CHEUVREUX	Securities	BNP PARIBAS	OTC
MIZUHO	Securities	CACEIS	OTC
MORGAN STANLEY	Securities	CREDIT AGRICOLE - CIB	OTC
NATIXIS SA	Securities	JP MORGAN	OTC
NOMURA	Securities	MORGAN STANLEY	OTC
OCTO FINANCES SA	Securities	SOCIÉTÉ GÉNÉRALE	OTC
ODDO & Cie	Securities	STATE STREET BANK	OTC
OPPENHEIMER & Cie	Securities	UNION BANCAIRE PRIVEE, SA	OTC
ROYAL BANK OF CANADA	Securities	RBC	OTC
SMBC NIKKO Capital Markets Limited	Securities		
SOCIÉTÉ GÉNÉRALE	Securities		
UBS	Securities		
UNICREDIT BANK AG	Securities		

\*for mandates, not all of these brokers are approved by the client