



UNION BANCAIRE PRIVÉE

## LIST OF APPROVED BROKERS

18/12/2020

Please find below the list of approved executing brokers for funds, portfolios or mandates managed by Union Bancaire Gestion Institutionnelle.

Name of the Broker	Type of products	Name of the Broker	Type of products
BANK OF AMERICA MERRILL LYNCH	Securities	BANK OF AMERICA MERRILL LYNCH	Derivatives
BARCLAYS	Securities	CACEIS	Derivatives
BERENBERG	Securities	CITI GROUP	Derivatives
BNP PARIBAS	Securities	EXANE	Derivatives
BRED	Securities	GOLDMAN SACHS	Derivatives
CITI GROUP	Securities	JP MORGAN	Derivatives
CREDIT AGRICOLE - CIB	Securities	MORGAN STANLEY	Derivatives
CREDIT SUISSE	Securities	RBC Capital Markets LLC	Derivatives
DAIWA	Securities	SOCIÉTÉ GÉNÉRALE	Derivatives
GOLDMAN SACHS	Securities	UBS	Derivatives
HSBC	Securities		
JEFFERIES	Securities	BARCLAYS	OTC*
JP MORGAN	Securities	BNP PARIBAS	OTC*/**
KEPLER CHEUVREUX	Securities	CACEIS	OTC*
MITSUBISHI UFJ Securities	Securities	CREDIT AGRICOLE - CIB	OTC*/**
MIZUHO	Securities	JP MORGAN	OTC*
MORGAN STANLEY	Securities	MORGAN STANLEY	OTC*
NATIXIS SA	Securities	SOCIÉTÉ GÉNÉRALE	OTC*/**
NOMURA	Securities	STATE STREET BANK	OTC*
OCTO FINANCES SA	Securities	UNION BANCAIRE PRIVEE, SA	OTC*
ODDO & Cie	Securities	RBC	OTC***
OPPENHEIMER & Cie	Securities		
ROYAL BANK OF CANADA	Securities		
SMBC NIKKO Capital Markets Limited	Securities		
SOCIÉTÉ GÉNÉRALE	Securities		
UBS	Securities		
UNICREDIT BANK AG	Securities		

\*US counterparties (e.g. Citibank NA) and US branches of other counterparties (e.g. Deutsche Bank AG, NY branch) are not allowed for German mandate except for physically settled FX Forwards and F

\*\* Only Société Générale (SG CIB (Geneva branch)), BNP Paribas (BPSS Milan) and Credit Agricole - CIB are approved for FX transactions on our Italian mandate.

\*\*\* Only authorised for FX transactions for our SRI mandate