



UNION BANCAIRE PRIVÉE

## LIST OF THE EXCHANGES AND MTF WHERE UBP CAN ACCESS AS MEMBER OR THROUGH AN EXTERNAL BROKER As of January 2017

### 1. UBP Exchange Membership

UBP is member of the following Exchanges:

Country	Entity name
CH Switzerland	SWX
DE Germany	EUREX

### 2. MTF Membership

Entity name
Bloomberg
MarketAxess

### 3. Exchanges that UBP can access through external brokers

#### a) Americas

Etat	Entity name
BR Brazil	Bolsa de Valores Sao Paulo
CN Canada	Toronto Stock Exchange
MX Mexico	Bolsa Mexicana de Valores
US United States	The New York Stock Exchange The National Association of Securities Dealers Automated Quotations American Stock Exchange

## b) Europe/Middle East/Africa

Country	Entity name
AT Austria	Wiener Börse
BE Belgium	Euronext Exchange
BH Bahrain	Bahrain Stock Exchange
CH Switzerland	SWX Swiss Exchange
DE Germany	Börse Berlin-Bremen Gruppe Deutsche Börse
DK Denmark	Copenhagen Stock Exchange
EG Egypt	Egyptian Stock Exchange Cairo & Alexandria Stock Exchanges
ES Spain	Bolsa de Madrid Barcelona Stock Exchange
FI Finland	Helsinki Stock Exchange
FR France	Euronext Exchange
GB United Kingdom	London Stock Exchange
GR Greece	Athens Stock Exchange
HU Hungary	Budapest Stock Exchange
IE Ireland	Irish Stock Exchange
IL Israel	Tel-Aviv Stock Exchange
IS Iceland	Iceland Stock Exchange
IT Italy	Borsa Italiana
LU Luxembourg	Luxembourg Stock Exchange
MA Morocco	Casablanca Stock Exchange
NL Netherlands	Amsterdam Exchanges
NO Norway	Oslo Børs
PL Poland	Warsaw Stock Exchange
PT Portugal	Euronext Lisboa
RO Romania	Bucharest Stock Exchange
RU Russian Federation	RTS Stock Exchange
SE Sweden	Stockholmborsen
TR Turkey	Istanbul Stock Exchange
ZA South Africa	Johannesburg Stock Exchange
ZW Zimbabwe	Zimbabwe Stock Exchange

## c) Asia

Country	Entity name
AU Australia	Australian Stock Exchange Stock Exchange of Newcastle
HK Hong Kong	Hong Kong Stock Exchange
ID Indonesia	Indonesia NET Exchange Jakarta Stock Exchange
JP Japan	Tokyo Stock Exchange Nagoya Stock Exchange Osaka Stock Exchanges
KR South Korea	Korea Stock Exchange
NZ New Zealand	New Zealand Stock Exchange
PH Philippines	The Philippine Stock Exchange
SG Singapore	Singapore Stock Exchange
TH Thailand	The Stock Exchange of Thailand
TW Taiwan	Taiwan Stock Exchange

#### 4. Main Brokers

##### a) Bonds

Entity name
Barclays
BNP
Citi Bank
Deutsche Bank
Goldman Sachs
HSBC
JP Morgan
Morgan Stanley
Nomura
UBS
Zurcher Kantonalbank

##### b) Shares

Entity name
Citigroup Global Markets
Credit Suisse Secs
Deutsche Bank
Exane
Goldman Sachs
Jefferes
JP Morgan
Merrill Lynch Int.
Morgan Stanley & Co Int
UBS

##### c) Derivatives

Entity name
Goldman Sachs
UBS Investment Bank

#### 5. Main MTF that UBP can access through external Brokers :

Entity name
Aquis
Turquoise

6. Main Non-Displayed Venues that UBP can access through external Brokers :

Entity name
BATS Dark
Chi-x Dark
Credit Suisse CrossFinder
Exane Limited
Goldman Sachs Sigma X
Instinet BlockMatch
ITG POSIT Now
JPMX
Liquidnet
Morgan Stanley MS POOL
Turquoise Block Discovery
UBS MTF